

## ON THE WEYL TENSOR OF A SELF-DUAL COMPLEX 4-MANIFOLD

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**ABSTRACT.** We study complex 4-manifolds with holomorphic self-dual conformal structures, and we obtain an interpretation of the Weyl tensor of such a manifold as the projective curvature of a field of cones on the ambitwistor space. In particular, its vanishing is implied by the existence of some compact, simply-connected, null-geodesics. We also show that the projective structure of the  $\beta$ -surfaces of a self-dual manifold is flat. All these results are illustrated in detail in the case of the complexification of  $\mathbb{CP}^2$ .

### 1. INTRODUCTION

Twistor theory, created by Penrose [16], establishes a close relationship between conformal Riemannian geometry in dimension 4, and (almost) complex geometry in dimension 3. In particular, to a Riemannian manifold  $M$  for which the part  $W^-$  of the Weyl tensor vanishes identically (*self-dual*), one associates its *twistor space*  $Z$ , a complex 3-manifold containing rational curves with normal bundle  $\mathcal{O}(1) \oplus \mathcal{O}(1)$  (called *twistor lines*), and admitting a real structure with no fixed points [1], [6], [3].

The space of such curves is a complex 4-manifold  $\mathbf{M}$  [10] with a holomorphic conformal structure and is, therefore, a conformal complexification of  $M$  [1], [6], [3].

As the conformal geometry of  $M$  is encoded by the complex geometry of  $Z$ , we ask ourselves what holomorphic object on  $Z$  corresponds to  $W^+$ , the Weyl tensor of the self-dual manifold  $M$ . It seems that this question, although natural, has not been considered in the literature, and maybe a reason for that is that the answer appears to be a highly non-linear object.

This object is more easily understood in the framework of complex-Riemannian geometry (see Section 2): For a self-dual (complex) 4-manifold  $\mathbf{M}$ , its (local) twistor space is then defined as the 3-manifold of  $\beta$ -surfaces (some totally geodesic isotropic surfaces; see Section 2). Following LeBrun [14], we further introduce the (locally-defined) space  $B$  of complex null-geodesics of  $\mathbf{M}$  (*ambitwistor space*).

The ambitwistor space  $B$  and (in the self-dual case) the twistor space  $Z$  completely describe the conformal structure of  $\mathbf{M}$ . In particular, a null-geodesic  $\gamma$  in  $\mathbf{M}$  corresponds to the set of twistor lines in  $Z$  tangent to a 2-plane [13]. The union of these curves, called the *integral  $\alpha$ -cone* of  $\gamma$  (see Section 3), is lifted to a (linearized)  $\alpha$ -cone in  $T_\gamma B$ . Our first result (Theorem 1) is that the Weyl tensor of  $\mathbf{M}$  is equivalent to the projective curvature (see Section 4) of the field of  $\alpha$ -cones

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on  $B$ . In particular, if such a cone is *flat*, then  $W^+$  vanishes on certain isotropic planes in  $\mathbf{M}$ .

We use Theorem 1 to investigate global properties of a self-dual manifold  $\mathbf{M}$ : If the integral  $\alpha$ -cone of  $\gamma$  is part of a smooth surface in  $Z$ , then the linearized  $\alpha$ -cone is flat (Theorems 2, 2'). In particular, the space  $\mathbf{M}_0$  of rational curves of  $Z$  with normal bundle  $\mathcal{O}(1) \oplus \mathcal{O}(1)$  is compact iff  $Z \simeq \mathbb{CP}^3$ . On the other hand, it is known, from a theorem of Campana [4], that, for a compact twistor space  $Z$ ,  $\mathbf{M}_0$  can be compactified within the space of analytic cycles iff  $Z$  is Moishezon. It appears then that the conformal structure does not extend smoothly to the compactification.

A good illustration of what happens in the non-flat (self-dual) case is the Kähler-Einstein manifold  $\mathbb{CP}^2$  whose twistor space is known to be the manifold of flags in  $\mathbb{C}^3$  [1]; see Section 7.

A first application of Theorem 2 is that a *civilized* (a topological assumption on the conformal manifold, permitting the global construction of its (ambi-)twistor space—see [12], [15], and Section 2) self-dual manifold containing a compact null-geodesic is conformally flat, and the null-geodesic is simply-connected (Theorem 3). If we assume, in addition, that the compact null-geodesic is simply-connected, then the above result can be deduced, using Theorem 2', for any self-dual 4-manifold, and also (using the *LeBrun correspondence* [12]) for the case of a conformal complex 3-manifold [2]; In fact, we have recently proven [2] that the existence of a compact, simply-connected, null-geodesic on an  $n$ -dimensional conformal complex manifold implies its conformal flatness, for any  $n \geq 3$  (different methods are used for  $n > 3$ ).

Another application of Theorem 2 is that the family of *twistor lines* on a *twistor space* never induces a projective structure on it, unless the twistor space is an open set in  $\mathbb{CP}^3$  (Corollary 1).

The isotropic, totally geodesic surfaces (called  $\beta$ -surfaces) in a self-dual manifold  $\mathbf{M}$  have a projective structure, given by the null-geodesics of  $\mathbf{M}$  contained in it (Section 6). We show that it is *flat* (i.e. locally equivalent to  $\mathbb{CP}^2$ ) (Corollary 2), and we obtain a classification of the compact  $\beta$ -surfaces of a self-dual 4-manifold (Theorem 4).

The paper is organized as follows. In Section 2 we recall the classical results of the twistor theory (especially for complex 4-manifolds), in Section 3 we introduce the  $\alpha$ -cones on the (ambi-)twistor space, and, in Section 4, we prove the equivalence between the projective curvature of the latter and the Weyl tensor  $W^+$  of  $\mathbf{M}$ . Section 5 is devoted to the proof of some results of the type “compactness implies conformal (projective) flatness”: Theorems 2, 2' and 3, mentioned above. We study the projective structure of  $\beta$ -surfaces in Section 6, and we illustrate the above results on the special case of the self-dual manifold  $\mathbb{CP}^2$  in Section 7.

## 2. PRELIMINARIES

The content of this paper makes use of *complex-Riemannian geometry*, which is obtained by analogy from Riemannian geometry by replacing the field  $\mathbb{R}$  by  $\mathbb{C}$  (e.g. a *complex metric* is a non-degenerate symmetric complex-bilinear form on the tangent space), and all classical results hold, naturally with the exception of those making use of partitions of unity. We will often omit the prefix *complex-* when referring to geometric objects, and we will always consider them, unless otherwise stated, in the framework of complex-Riemannian geometry.

**2.1. Conformal complex 4-manifolds.** Let  $\mathbf{M}$  be a 4-dimensional complex manifold. A *conformal structure* is defined, as in the real case [5], by an everywhere non-degenerate section  $c$  of the complex bundle  $S^2(T^*\mathbf{M}) \otimes L^2$ , where  $L$  is a given line bundle of *scalars of weight 1*, and  $L^4 \simeq \kappa^{-1}$ , the anti-canonical bundle of  $\mathbf{M}$ . (While on an oriented real manifold such a line bundle always exists, being topologically trivial, in the complex case the existence of  $L^2$ , a square root of the anti-canonical bundle, is submitted to some topological restrictions.) From now on, only holomorphic conformal structures will be considered; thus  $L$  is a holomorphic bundle and  $c$  a holomorphic section of  $S^2(T^*\mathbf{M}) \otimes L^2$ . (In fact, all we need to define the conformal structure  $c$  on the 4-manifold  $\mathbf{M}$  is just the holomorphic bundle  $L^2$ ; in odd dimensions the situation is different; see [2].)

As in the real case,  $c$  is locally represented by symmetric bilinear forms on  $T\mathbf{M}$ , or local sections in  $L^2$ , but global representative metrics do not exist, in general.

For each point  $x \in \mathbf{M}$ , there is an isotropy cone  $C_x$  in the tangent space  $T_x\mathbf{M}$ , which uniquely determines the conformal structure  $c$ . In the associated projective space,  $\mathbb{P}(T_x\mathbf{M}) \simeq \mathbb{CP}^3$ , the cone  $C_x$  projects onto the non-degenerate quadratic surface  $\mathbb{P}(C_x)$ , which is actually a ruled surface isomorphic to  $\mathbb{CP}^1 \times \mathbb{CP}^1$ . We thus get 2 families of complex projective lines contained in  $\mathbb{P}(C)$ , that is, 2 families of isotropic 2-planes in  $C \subset T\mathbf{M}$ , respectively called  $\alpha$ -planes and  $\beta$ -planes. This choice corresponds to the choice of an *orientation* of  $\mathbf{M}$ . On a real 4-manifold an orientation is chosen by picking a class of “positive” volume forms (which is not possible in this complex framework) or by choosing one of the two possible Hodge operators compatible with the conformal structure  $*$  :  $\Lambda^2\mathbf{M} \rightarrow \Lambda^2\mathbf{M}$  (which can also be done in our complex case, [17]). As  $*$  is a symmetric involution,  $\Lambda^2\mathbf{M}$  decomposes into  $\Lambda^+\mathbf{M} \oplus \Lambda^-\mathbf{M}$ , consisting in  $\pm 1$ -eigenvectors of  $*$ , respectively called *self-dual* and *anti-self-dual* 2-forms; the isotropic vectors in  $\Lambda^+\mathbf{M}$  and  $\Lambda^-\mathbf{M}$  are then exactly the *decomposable* elements  $u \wedge v \in \Lambda^\pm\mathbf{M}$ , with  $u, v \in \mathbf{M}$ .

**Definition 1.** An  $\alpha$ -plane  $F^\alpha$  (resp. a  $\beta$ -plane  $F^\beta$ ) in  $T\mathbf{M}$  is a 2-plane such that  $\Lambda^2 F^\alpha$  (resp.  $\Lambda^2 F^\beta$ ) is a self-dual (resp. anti-self-dual) isotropic line in  $\Lambda^2\mathbf{M}$ .

*Remark.* The  $\alpha$ - and  $\beta$ -planes can be interpreted in terms of spinors. The structure group of the tangent bundle  $T\mathbf{M}$  is restricted to the conformal orthogonal complex group,  $CO(4, \mathbb{C}) := (O(4, \mathbb{C}) \times \mathbb{C}^*) / \{\pm 1\}$ , where  $O(4, \mathbb{C}) := \{A \in GL(4, \mathbb{C}) | A^t A = \mathbf{1}\}$ , by the given conformal structure of  $\mathbf{M}$ . The choice of an orientation is the further restriction of this group to the connected component of the identity,  $CO_0(4, \mathbb{C}) := SO(4, \mathbb{C}) \times \mathbb{C}^*$ , where  $SO(4, \mathbb{C}) := O(4, \mathbb{C}) \cap SL(4, \mathbb{C})$ . Consider a local metric  $g$  in the conformal class  $c$ . We have then locally defined *Spin* structures, and associated *Spin* bundles  $V_+, V_-$ , as in the real case [1], [18]. They are rank 2 complex vector bundles, and for each local section of  $L^2$  (i.e. a metric in  $c$ ), each of them is equipped with a (complex) symplectic structure  $\omega_+ \in \Lambda^2 V_+, \omega_- \in \Lambda^2 V_-$ , respectively. Then we locally have  $T\mathbf{M} \simeq V_+ \otimes V_-$ , and  $g = \omega_+ \otimes \omega_-$ , for the fixed metric  $g \in c$ .  $\alpha$ - (resp.  $\beta$ -) planes are then nothing but the isotropic 2-planes obtained by fixing the first (resp. the second) factor in the above tensor product:

**Proposition 1** ([17]). An  $\alpha$ -plane, resp.  $\beta$ -plane  $F \subset T_x\mathbf{M}$  is a complex plane  $\psi_+ \otimes V_-$ , resp  $V_+ \otimes \psi_-$ , where  $\psi_+ \in V_+ \setminus \{0\}$ , resp.  $\psi_- \in V_- \setminus \{0\}$ .

The  $\alpha$ -planes in  $T_x\mathbf{M}$  are thus indexed by  $\mathbb{P}(V_+)_x$ , and  $\beta$ -planes by  $\mathbb{P}(V_-)_x$ , and these projective bundles are globally well-defined on  $\mathbf{M}$  [1].

*Remark.* It is obvious that a change of orientation interchanges the  $\alpha$ - and  $\beta$ -planes; the same is true for self-duality and anti-self-duality, to be defined below.

For a local metric  $g$  in  $c$ , we denote by  $R^g$  its Riemannian curvature, and by  $W$  the Weyl tensor, i.e. the trace-free component of  $R^g$ , which is known to be independent of the chosen metric within the conformal class [5]. It splits into two components  $W^+$ ,  $W^-$ , and the easiest way to see that is the spinorial decomposition of the space of the curvature tensors  $\mathcal{R} \subset \Lambda^2 \otimes \Lambda^2$  ([1], [18], [19]), obtained from the relation  $T\mathbf{M} = V_+ \otimes V_-$  and some of the Clebsch-Gordan identities [18]. We have

$$\mathcal{R} = \mathcal{S} \oplus \mathcal{B} \oplus W^+ \oplus W^-,$$

where  $\mathcal{S}$  is the complex line of scalar curvature tensors, (“diagonally”) included in  $\Lambda^2 V_+ \oplus \Lambda^2 V_- \simeq \mathbb{C} \oplus \mathbb{C}$ ,  $\mathcal{B} = S^2 V_+ \otimes S^2 V_-$  is the space of trace-free Ricci tensors, and  $W^+ = S^4 V_+$ ,  $W^- = S^4 V_-$  are the spaces of self-dual, resp. anti-self-dual Weyl tensors (where  $S^p V_{\pm}$  denotes the  $p$ -symmetric power of  $V_{\pm}$ ).

The curvature  $R^g$  restricted to any  $\alpha$ -plane  $F$  yields a weighted bilinear symmetric form  $R^F$  on  $\Lambda^2 F$ , i.e. a section in  $L^2 \otimes (\Lambda^2 F \otimes \Lambda^2 F)^*$ :

$$(g, X \wedge Y) \xrightarrow{R^F} g(R^g(X, Y)X, Y).$$

**Proposition 2.** *The (weighted) bilinear form  $R^F$  depends only on the self-dual Weyl tensor, and this one is completely determined by the (weighted) values of  $R^F$  for all  $\alpha$ -planes  $F$ .*

We have the same result for  $\beta$ -planes.

*Proof.* Let  $F = \psi_+ \otimes V_-$  be an  $\alpha$ -plane, let  $X = \psi_+ \otimes \varphi_1, Y = \psi_+ \otimes \varphi_2 \in F$ , and suppose, for simplicity, that  $\omega_-(\varphi_1, \varphi_2) = 1$ , so  $X \wedge Y \in \Lambda^2 F$  is identified with the element  $\psi_+ \otimes \psi_+ \in S^2 V_+$ . Then it is easy to see that  $R^F$ , evaluated on  $X \wedge Y$ , is nothing but the evaluation of  $R \in S^2(\Lambda^2 \mathbf{M}) \supset \mathcal{R}$  on  $(X \wedge Y) \otimes (X \wedge Y) \simeq \psi_+ \otimes \psi_+ \otimes \psi_+ \otimes \psi_+ \in S^4 V_+$ , which depends only on the positive (or self-dual) part of the Weyl tensor. To prove the second assertion, we remark that  $W^+$ , being a quadrilinear symmetric form on  $V_+$ , can be identified with a polynomial of degree 4 on  $V_+$ , which is determined by its values.  $\square$

**Definition 2.** A conformal structure  $c$  on a 4-manifold  $\mathbf{M}$  is called *self-dual* (resp. *anti-self-dual*) iff  $W^- = 0$  (resp.  $W^+ = 0$ ).

*Remark.* In general, geodesics on a conformal manifold depend on the chosen metric, with the exception of the isotropic ones (or *null-geodesics*). Therefore the existence of totally geodesic surfaces tangent to  $\alpha$ - (resp.  $\beta$ -) planes is a property of the conformal structure alone.

## 2.2. Twistor spaces.

**Definition 3.** An  $\alpha$ -surface (resp.  $\beta$ -surface)  $\alpha \subset \mathbf{M}$  is a maximal, totally geodesic surface in  $\mathbf{M}$  whose tangent space at any point is an  $\alpha$ -plane (resp.  $\beta$ -plane).

On the other hand, any totally geodesic, isotropic surface in  $\mathbf{M}$  is included in an  $\alpha$ - or in a  $\beta$ -surface.

**Definition 4** ([16], [17]). If, at any point  $x \in \mathbf{M}$ , and for any  $\alpha$ - (resp.  $\beta$ -) plane  $F \subset T_x \mathbf{M}$ , there is an  $\alpha$ - (resp.  $\beta$ -) surface tangent to  $F$  at  $x$ , we say that the family of  $\alpha$ - (resp.  $\beta$ -) planes is *integrable*.

**Theorem** ([1], [17]). *The family of  $\alpha$ - (resp.  $\beta$ -) planes of a conformal 4-manifold  $(\mathbf{M}, c)$  is integrable if and only if the conformal structure  $c$  is anti-self-dual (resp. self-dual).*

The integrability of  $\alpha$ -planes is equivalent to the integrability (in the sense of Frobenius) of a distribution  $H^\alpha$  of 2-planes on the total space of the projective bundle  $\mathbb{P}(V_+)$ . Namely, let  $g$  be a local metric in the conformal class  $c$ , and let  $\nabla$  be its Levi-Civita connection.  $\nabla$  induces a connection in the bundle  $\mathbb{P}(V_+)$ , thus a horizontal distribution  $H$ , isomorphic to  $T\mathbf{M}$  via the bundle projection. Let  $H^\alpha$  be the 2-dimensional subspace of  $H_F$ —where  $F \in \mathbb{P}(V_+)$  is an  $\alpha$ -plane in  $T_x\mathbf{M}$ —which projects onto  $F \subset T_x\mathbf{M}$ . It can easily be shown (as in [17], see also [1]) that the *tautological* 2-plane distribution  $H^\alpha$  is independent of the metric  $g$ . Then  $\alpha$ -surfaces are canonically lifted as integrable manifolds of the distribution  $H^\alpha$ . For a geodesically convex open set of  $\mathbf{M}$ , one can prove (see [15]) that the space of these integrable leaves is a complex 3-manifold. (This point of view is closely related to that of [1], about the integrability of the canonical almost complex structure of the real twistor space.)

The same remark can be made about  $\beta$ -surfaces.

*Remark.* The existence, for any point  $x \in \mathbf{M}$ , of an  $\alpha$ -surface containing  $x$  does not imply, in general, the integrability of the whole family of  $\alpha$ -planes: in the conformal self-dual (but not anti-self-dual) manifold  $\mathbf{M} = \mathbb{CP}^2 \times (\mathbb{CP}^2)^* \setminus \mathcal{F}$  (the complexification of  $\mathbb{CP}^2$ , [1]), the surfaces  $(\{x\} \times (\mathbb{CP}^2)^*) \cap \mathbf{M}$  and  $(\mathbb{CP}^2 \times \{y\}) \cap \mathbf{M}$  are all  $\alpha$ -surfaces, see Section 7.

*Remark.* In the real framework, the twistor space of a real Riemannian 4-manifold  $M^\mathbb{R}$  is the total space  $Z^\mathbb{R}$  of the  $S^2$ -bundle of almost-complex structures on  $TM^\mathbb{R}$ , compatible with the conformal structure and the (opposite) orientation; it admits a natural almost-complex structure  $\mathcal{J}$ , equal, at the point  $J \in Z^\mathbb{R}$ , to the complex structure of the fibers on the *vertical* space  $T_J^\vee Z^\mathbb{R}$ , and to  $J$  itself on the horizontal space (induced by the Levi-Civita connection). Such a complex structure  $J$  is equivalent to an isotropic complex 2-plane in  $TM \otimes \mathbb{C}$ , thus to an  $\alpha$ - or  $\beta$ -surface (depending on the conventions), which then becomes the space of vectors of type  $(1, 0)$  for  $J$ ; as the integrability of the almost-complex structure  $\mathcal{J}$  can be expressed as the Frobenius condition applied to  $T^{(1,0)}Z^\mathbb{R}$ , it is equivalent to the integrability of the family of  $\alpha$ -, resp.  $\beta$ -planes.

The *Penrose construction* associates to an (anti-)self-dual manifold  $\mathbf{M}$  the space  $Z$  of  $\alpha$ - (resp.  $\beta$ -)surfaces of  $\mathbf{M}$ ; we have seen above that  $Z$  admits complex-analytic maps, but it may be non-Hausdorff. This is why we need to introduce the following condition; see also [15]:

**Definition 5.** An (anti-)self-dual manifold  $\mathbf{M}$  is called *civilized* iff the space  $Z^\alpha$  (resp.  $Z^\beta$ ) of integral leaves of the distribution  $H^\alpha$  (resp.  $H^\beta$ ) in  $\mathbb{P}(V_+)$  (resp.  $\mathbb{P}(V_-)$ ) is a complex 3-manifold, and the projection  $p^+ : \mathbb{P}(V_+) \rightarrow Z^\alpha$  (resp.  $p^- : \mathbb{P}(V_-) \rightarrow Z^\beta$ ) is a submersion.

In this case, the manifold  $Z^\alpha$  (resp.  $Z^\beta$ )—which is the space of  $\alpha$ -surfaces (resp.  $\beta$ -surface) of  $\mathbf{M}$ —is called the  $\alpha$ - (resp. the  $\beta$ -) *twistor space* of  $\mathbf{M}$ .

From now on, we suppose that  $(\mathbf{M}, c)$  is a self-dual complex analytic 4-manifold. As any point  $x \in \mathbf{M}$  has a geodesically convex neighborhood  $U$  [21] (which is, therefore, civilized [15]), we can construct  $Z^U$ , the  $\beta$ -twistor space (for short, twistor

space) of  $U$ . As most results of this paper are infinitesimal, we will usually suppose (with no loss of generality) that  $\mathbf{M}$  is civilized (for example, by replacing  $\mathbf{M}$  by  $U$ ).

We recall now the correspondence between differential geometric objects on  $\mathbf{M}$  and complex analytic objects on its twistor space  $Z$  ([1], [17]; see also [12], [13], [18]).

$\beta$ -surfaces  $\beta \subset \mathbf{M}$  correspond to points  $\bar{\beta} \in Z$ , by definition, and the set of  $\beta$ -surfaces passing through a point  $x \in \mathbf{M}$  is a complex projective line  $Z_x$ , with normal bundle isomorphic (non-canonically) to  $\mathcal{O}(1) \oplus \mathcal{O}(1)$  (where  $\mathcal{O}(1)$  is the dual of the *tautological* bundle  $\mathcal{O}(-1)$  on  $\mathbb{CP}^1$ ) ([1], [17]; see also [3]). Such a curve will be called a *twistor line*.

In fact, the family of twistor lines in  $Z$  permits us to recover  $\mathbf{M}$  and its conformal structure, at least locally, by the *reverse Penrose construction*: The normal bundle  $N_x$  of a line  $Z_x$  in  $Z$  has the property  $H^1(N_x, \mathcal{O}) = 0$ ; thus, by a theorem of Kodaira [10], the space  $\mathbf{M}_0$  of projective lines in  $Z$  having the above normal bundle is a smooth complex manifold whose tangent space at a point  $x \simeq Z_x \subset Z$  is canonically isomorphic to the space of global sections of the normal bundle  $N_x$  of  $Z_x$  (thus  $\mathbf{M}_0$  has dimension 4). The conformal structure of  $\mathbf{M}_0$  is described by its isotropy cone, which corresponds to the sections of  $N_x$  having at least one zero (as such a section decomposes as 2 sections of  $\mathcal{O}(1)$ , the vanishing condition means that they both vanish at the same point, which is a quadratic condition on the sections of  $N_x$ ). We thus get a conformal diffeomorphism from  $\mathbf{M}$  to an open set of  $\mathbf{M}_0$ .

**2.3. Ambitwistor spaces.** We remark that  $\mathbb{P}(V_-)$  is an open set of the projective tangent bundle of  $Z$ , as  $Z$  is the space of leaves of  $\mathbb{P}(V_-)$ , but it is important to note that, in general, the reverse inclusion is not true (i.e. not every direction in  $Z$  is tangent to a line corresponding to a point in  $\mathbf{M}$ , or, equivalently,  $\beta$ -surfaces are not compact  $\mathbb{CP}^2$ 's, in general, see Section 5).

For example, if  $\mathbf{M} = \mathbb{CP}^2 \times \mathbb{CP}^* \setminus \mathcal{F}$  (with the notation in Section 7),  $\mathbb{P}(V_-)$  is an open subset in the  $\mathbb{CP}^2$ -bundle  $\mathbb{P}(TZ) \rightarrow Z$ , consisting of the set of directions transverse to the *contact structure* of  $Z$  (see Subsection 7.4).  $\mathbb{P}(V_-)$  is thus, in this case, a rank 2 affine bundle over  $Z$ .

Another canonical  $\mathbb{CP}^2$ -bundle on  $Z$ , that is,  $\mathbb{P}(T^*Z) \rightarrow Z$ , leads to the *ambitwistor space*  $B$ , which is by definition the space of null-geodesics of  $\mathbf{M}$  [13]. It is an open set of the projective cotangent bundle of  $Z$  (or, equivalently, the Grassmannian of 2-planes in  $TZ$ ) [13]. More precisely, a plane  $F \subset T_{\bar{\beta}}Z$  corresponds to a null-geodesic  $\gamma \subset \mathbf{M}$  (contained in  $\beta$ ) if it is tangent to at least one projective line  $Z_x$ , corresponding to a point  $x \in \mathbf{M}$ .

To see that, let  $x$  be a point in  $\mathbf{M}$ ,  $\beta$  a  $\beta$ -surface passing through  $x$ , i.e.  $\bar{\beta} \in Z$  and  $Z_x$  contains  $\bar{\beta}$ ; let  $F \subset T_{\bar{\beta}}Z$  be a plane tangent to  $Z_x$ . As small deformations of  $Z_x$  still correspond to points of  $\mathbf{M}$ , we consider the twistor lines which are tangent to  $F$ . They correspond to a (path-connected) set of points on a curve  $\gamma \subset \beta$ , which will turn out to be a null-geodesic. Indeed, all we have to prove is  $\ddot{\gamma} = 0 \pmod{\dot{\gamma}}$ , and  $\dot{\gamma}_x$  corresponds to a section  $\eta$  of  $N_x$ , vanishing at  $\bar{\beta} \in Z_x$ ; as  $N_x \simeq \mathcal{O}(1) \oplus \mathcal{O}(1)$ ,  $\eta$  is determined by its derivative at  $\bar{\beta}$ , which is a linear map  $T_{\bar{\beta}} \rightarrow F/T_{\bar{\beta}}$  (the infinitesimal deformation of the direction of  $Z_x$  within  $F$ ). As the points of  $\gamma$  correspond to lines tangent to  $F$ , we have that  $\dot{\gamma}_x$  corresponds to a section of  $N_x$  collinear to  $\eta$ ; thus  $\gamma$  satisfies the equation of a (non-parameterized) geodesic. See [12], [15], and Section 4 for details.

**Example.** The space of null-geodesics of  $\mathbf{M} = \mathbb{P}(E) \times \mathbb{P}(E)^* \setminus \mathcal{F}$  is the total space of a  $\mathbb{C} \times \mathbb{CP}^1$ -bundle over  $Z = \mathcal{F}$ , the flag manifold (see Section 7); a 2-plane  $F \subset T_{(L,l)}\mathcal{F}$  which corresponds to a null-geodesic in  $\mathbf{M}$  is identified either with a projective diffeomorphism  $\varphi : \mathbb{P}(l) \rightarrow \mathbb{P}(L^\circ)$  (Subsection 7.4, case 3), or with a point  $A \subset l$ ,  $A \neq L$ , resp. a plane  $a$  containing  $L$ , and different from  $l$  (Subsection 7.4, cases **2** and **2'**).

### 3. THE STRUCTURE OF THE AMBITWISTOR SPACE AND THE FIELD OF $\alpha$ -CONES

**Conventions.** Except for some results in Section 5, we will consider  $\mathbf{M}$  to be a self-dual civilized 4-manifold, i.e. the (twistor) space  $Z$  of  $\beta$ -surfaces of  $\mathbf{M}$  is a Hausdorff smooth complex 3-manifold, and the projection  $\mathbb{P}(V_-) \rightarrow Z$  is a submersion (e.g.  $\mathbf{M}$  is geodesically convex); see [15].

We will frequently identify, following the deformation theory of Kodaira (see [10]), the vectors in  $T_x\mathbf{M}$  with sections in the normal bundle  $N(Z_x)$  of the projective line  $Z_x$  in  $Z$ .

We also consider the space of null-geodesics  $B$ , as an open subset of  $\mathbb{P}(T^*Z)$ .

For a null-geodesic  $\gamma$ , resp. a  $\beta$ -surface  $\beta \subset \mathbf{M}$ , we denote by  $\bar{\gamma}$ , resp.  $\bar{\beta}$ , the corresponding point in  $B$ , resp.  $Z$ .

**3.1.  $\alpha$ - and  $\beta$ -cones on the ambitwistor space.** The vectors on  $B$  can be expressed in terms of infinitesimal deformations of geodesics of  $\mathbf{M}$  (Jacobi fields). More precisely,

$$T_{\bar{\gamma}}B \simeq \mathcal{J}_\gamma^\perp / \mathcal{J}_\gamma^\gamma,$$

where, for a null-geodesic  $\gamma$ ,  $\mathcal{J}_\gamma^\perp$  is the space of Jacobi fields  $J$  such that  $\nabla_{\dot{\gamma}}J \perp \dot{\gamma}$ , and  $\mathcal{J}_\gamma^\gamma$  is its subspace of Jacobi fields “along”  $\gamma$ , i.e.  $J \in \mathbb{C}\dot{\gamma}$  at any point of the geodesic.

*Remark.* A class in  $\mathcal{J}_\gamma^\perp / \mathcal{J}_\gamma^\gamma$  is represented by Jacobi fields yielding the same local section of the normal bundle  $N(\gamma)$  of  $\gamma$  in  $\mathbf{M}$ . This is equivalent to the following obvious fact:

**Lemma 1.** *The kernel of the natural application  $\mathcal{J}_\gamma^\perp \rightarrow N(\gamma)$  is  $\mathcal{J}_\gamma^\gamma$ .*

As a consequence, Jacobi fields on  $\gamma$  induce particular local sections in  $N(\gamma)$ , which turn out to be (conformally invariant) solutions of a differential operator of order 2 on  $N(\gamma)$ ; see [2].

The conformal geometry of  $\mathbf{M}$  induces a particular structure on  $B$ : we describe it in order to obtain an expression of  $W^+$  in terms of the geometry of the (ambitwistor) space.

We have a canonical hyperplane  $V_{\bar{\gamma}}$  in  $T_{\bar{\gamma}}B$ , defined by

$$V_{\bar{\gamma}} := \mathcal{J}_\gamma^{\perp\perp} / \mathcal{J}_\gamma^\gamma,$$

where  $\mathcal{J}_\gamma^{\perp\perp}$  is the set of Jacobi fields  $J$  everywhere orthogonal to  $\dot{\gamma}$  (i.e.  $\nabla_{\dot{\gamma}}J \perp \dot{\gamma}$  and  $J \perp \dot{\gamma}$ ).

Now we define two fields of cones in  $TB$ , both contained in  $V_{\bar{\gamma}}$ :

**Definition 6.** Let  $\gamma$  be a null-geodesic in  $\mathbf{M}$ , and, for each point  $x \in \gamma$ , let  $F_x^\beta$  be the  $\beta$ -plane containing  $\dot{\gamma}_x$ . The (infinitesimal)  $\beta$ -cone  $V_{\bar{\gamma}}^\beta$  at  $\bar{\gamma} \in B$  is defined as follows:

$$V_{\bar{\gamma}}^\beta := \mathcal{J}_\gamma^\beta / \mathcal{J}_\gamma^\gamma \subset \mathcal{J}_\gamma^{\perp\perp} / \mathcal{J}_\gamma^\gamma \simeq V_{\bar{\gamma}} \subset T_{\bar{\gamma}}B,$$

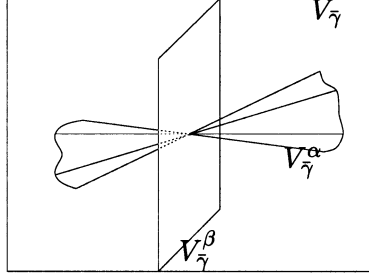


FIGURE 1.

where  $\mathcal{J}_\gamma^\beta$  is the set of Jacobi fields  $J$  on  $\gamma$  satisfying the condition

$$\exists x \in \gamma \text{ such that } J_x = 0 \text{ and } (\nabla_{\dot{\gamma}} J)_x \in F_x^\beta.$$

**Proposition 3.** *The  $\beta$ -cone  $V_\gamma^\beta$  is flat, i.e. it is included in the 2-plane  $F_\gamma^\beta$  consisting of Jacobi fields contained in the  $\beta$ -plane defined by  $\dot{\gamma}$  at each point of it.*

*Proof.* We have to prove that  $\mathcal{J}_\gamma^\beta$  is included in  $\bar{\mathcal{J}}_\gamma^\beta$ , defined as follows:

$$\bar{\mathcal{J}}_\gamma^\beta := \{J \text{ a Jacobi field on } \gamma \mid J_x, \dot{J}_x \in F_x^\beta, \forall x \in \gamma\}.$$

We will prove that  $\mathcal{J}_\gamma^\beta \subset \bar{\mathcal{J}}_\gamma^\beta$ ; therefore it will follow that the latter is non-empty, and is a linear space of dimension 2.

We denote by  $K^0$  the parallel displacement, along  $\gamma$ , of a non-zero vector in  $F_x^\beta$ , transverse to  $\dot{\gamma}$ . Then  $K^0 \in T\beta|_\gamma \setminus T\gamma$ , because  $\gamma$  is included in the totally geodesic surface  $\beta$ ; thus we can characterize  $F_y^\beta$  as the set  $\{X \in T_y M \mid X \perp \dot{\gamma}, X \perp K^0\}$ , for any  $y \in \gamma$ . We then observe that

$$\dot{\gamma} \cdot \langle \dot{J}, K^0 \rangle = \langle R(\dot{\gamma}, J) \dot{\gamma}, K^0 \rangle = \langle R(\dot{\gamma}, K^0) \dot{\gamma}, J \rangle = k \langle K^0, J \rangle,$$

because  $R(\dot{\gamma}, K^0) \dot{\gamma}$  is in  $F^\beta$ , thus  $R(\dot{\gamma}, K^0) \dot{\gamma} = h \dot{\gamma} + k K^0$ . So the scalar function  $\langle J, K^0 \rangle$  satisfies a linear second order equation, and hence it is determined by its initial value and derivative. It follows then that it is identically zero; thus  $J \in F^\beta$  everywhere, as claimed.  $\square$

Another subset in  $T_\gamma B$  is the  $\alpha$ -cone  $V_\gamma^\alpha$ , defined as follows:

**Definition 7.** Let  $\gamma$  be a null-geodesic in  $\mathbf{M}$ , and, for each point  $x \in \gamma$ , let  $F_x^\alpha$  be the  $\alpha$ -plane containing  $\dot{\gamma}_x$ . The (*infinitesimal*)  $\alpha$ -cone  $V_\gamma^\alpha$  at  $\bar{\gamma} \in B$  is defined as follows:

$$V_\gamma^\alpha := \mathcal{J}_\gamma^\alpha / \mathcal{J}_\gamma^\gamma \subset \mathcal{J}_\gamma^{\perp\perp} / \mathcal{J}_\gamma^\gamma \simeq V_\gamma \subset T_\gamma B,$$

where  $\mathcal{J}_\gamma^\alpha$  is the set of Jacobi fields  $J$  on  $\gamma$  satisfying the condition

$$\exists x \in \gamma \text{ such that } J_x = 0 \text{ and } (\nabla_{\dot{\gamma}} J)_x \in F_x^\alpha.$$

It is important to note that, in general, the projective curves  $\mathbb{P}(V_\gamma^\alpha)$  and  $\mathbb{P}(V_\gamma^\beta)$  are non-compact, as each of them corresponds to the set of points on  $\gamma$ , which is non-compact, in general. The field of  $\alpha$ -cones on  $B$  is the object of main interest in this paper. We may already guess that its flatness (i.e. the situation when  $V_\gamma^\alpha$  is a subset in a 2-plane) can be related to some vanishing property of the self-dual Weyl tensor of  $\mathbf{M}$ . See Figure 1.



*Remark.* We have seen that  $V_{\bar{\gamma}}^{\beta}$  is included in the 2-plane  $F_{\bar{\gamma}}^{\beta}$ , i.e. the condition  $J_x = 0, \dot{J}_x \in F_x$  can be generalized to the linear condition  $J, \dot{J} \in F^{\beta}$ , but there is no canonical way of supplying the “missing” points of  $\gamma$  with some appropriate Jacobi fields in order to “complete”  $V_{\bar{\gamma}}^{\alpha}$  as in the  $\beta$ -cones case. This would be possible, for example, if  $\mathbb{P}(V_{\bar{\gamma}}^{\alpha})$  were an open subset in a projective line. But the failure of  $V_{\bar{\gamma}}^{\alpha}$  to be part of a 2-plane is measured by its *projective curvature*, and we will see in Section 4 that the vanishing of the latter implies the vanishing of  $W^+$  (Theorem 1).

**3.2. Integral  $\alpha$ -cones in  $Z$  and  $B$ .** Now we study the field of  $\alpha$ -cones of  $B$  in relation with  $Z$  and the canonical projection  $\pi : B \rightarrow Z$ . First, we note that there are complex projective lines in  $B$  tangent to the directions in  $V_{\bar{\gamma}}^{\alpha}$ :

**Definition 8.** Let  $\bar{\gamma} \in B$ , and let  $x \in \gamma$  be a point on the null-geodesic  $\gamma$ ; let  $F_x^{\alpha}$  be the  $\alpha$ -plane tangent to  $T_x\gamma$ . The *rational curve*  $B_{\bar{\gamma},x}^{\alpha}$  in  $B$  (containing  $\bar{\gamma}$ ) is by definition the set of null-geodesics passing through  $x$  and tangent to  $F_x^{\alpha}$ .

The curves  $B_{\bar{\gamma},x}^{\alpha}$ ,  $x \in \gamma$ , are projected by  $\pi$  onto the complex lines  $Z_x$  through  $\bar{\beta}$  (corresponding to the  $\beta$ -surface  $\beta$  containing  $\gamma$ ) tangent to the 2-plane  $F^{\gamma}$ .

On the other hand, it is easy to see that the complex projective lines  $B_{\bar{\gamma},x}^{\beta}$  (defined analogously to  $B_{\bar{\gamma},x}^{\alpha}$ ), which are tangent to (an open set of the directions of)  $V_{\bar{\gamma}}^{\beta}$ , are contained in the fibers of  $\pi$ . In fact, they coincide with some of the projective lines passing through the point  $\gamma \in \mathbb{P}(T_{\bar{\beta}}^*Z) \simeq \mathbb{CP}^2$ .

**Definition 9.** The *integral  $\alpha$ -cones* in  $B$ , resp.  $Z$ , are defined by:

$$B_{\bar{\gamma}}^{\alpha} := \bigcup_{x \in \gamma} B_{\bar{\gamma},x}^{\alpha} \text{ } (\beta\text{-cone in } B); \quad Z^{\gamma} := \bigcup_{x \in \gamma} Z_x \text{ } (\beta\text{-cone in } Z).$$

We intend to prove that  $B_{\bar{\gamma}}^{\alpha}$  is the *canonical lift* of  $Z^{\gamma}$  (see Proposition 5). We know that  $\pi(B_{\bar{\gamma}}^{\alpha}) = Z^{\gamma}$ . We have

**Proposition 4.** *Except for the vertices  $\bar{\gamma} \in B_{\bar{\gamma}}^{\alpha}$  and  $\bar{\beta} \in Z^{\gamma}$ , the two integral cones  $B_{\bar{\gamma}}^{\alpha}$  and  $Z^{\gamma}$  are smooth, immersed surfaces of  $B$ , resp.  $Z$ .*

*Proof.* The open set  $B$  of  $\mathbb{P}(T^*Z)$  which is the space of null-geodesics of  $\mathbf{M}$  can be viewed as the space of integral curves of the *geodesic distribution*  $G$  of lines in  $\mathbb{P}(C)$ , the total space of the fibre bundle of isotropic directions in  $T\mathbf{M}$ .  $G_v$  is defined as the horizontal lift (for the Levi-Civita connection on  $\mathbf{M}$ ) of  $v$ , which is an isotropic line in  $T_x\mathbf{M}$ . This definition is independent of the chosen metric and connection [15], and, by integrating this distribution (as  $\mathbf{M}$  is civilized), we get a holomorphic map  $p : \mathbb{P}(C) \rightarrow B$ , where  $B$  is the space of leaves of this foliation. This map can be used to compute the normal bundle of  $B_{\bar{\gamma},x}^{\alpha}$ ,  $N(B_{\bar{\gamma},x}^{\alpha})$ ; see [12], [13], [15].

Indeed, we have lines  $C_{\gamma,x}^{\alpha} \in \mathbb{P}(C)_x$ , such that  $\dot{\gamma}_x \in C_{\gamma,x}^{\alpha}$ , which project onto  $B_{\bar{\gamma},x}^{\alpha}$ ; thus we get the following exact sequence of normal bundles:

$$0 \rightarrow N(C_{\gamma,x}^{\alpha}; p^{-1}(B_{\bar{\gamma},x}^{\alpha})) \rightarrow N(C_{\gamma,x}^{\alpha}; \mathbb{P}(C)) \rightarrow N(B_{\bar{\gamma},x}^{\alpha}; B) \rightarrow 0,$$

where we have written the ambient spaces of the normal bundles on the second position. The central bundle is trivial ( $C_{\gamma,x}^{\alpha}$  is trivially embedded in  $\mathbb{P}(C)_x \simeq \mathbb{CP}^1 \times \mathbb{CP}^1$ , which is trivially embedded in  $\mathbb{P}(C)$  as a fibre), and it is easy to check that the left-hand bundle is isomorphic to the tautological bundle over  $\mathbb{CP}^1$ ,  $\mathcal{O}(-1)$ . This proves that  $N(B_{\bar{\gamma},x}^{\alpha}; B) \simeq \mathcal{O}(0) \oplus \mathcal{O}(0) \oplus \mathcal{O}(1)$ ; in particular, the conditions

in the completeness theorem of Kodaira [10] are satisfied. Thus the lines in the integral  $\alpha$ -cone  $B_\gamma^\alpha$  form an analytic subfamily of the family  $\{B_{\bar{\gamma},x}^\alpha\}_{\bar{\gamma} \in B, x \in \gamma \subset M}$  that correspond to the sections of the normal bundle of  $B_{\bar{\gamma},x}^\alpha$  vanishing at  $\bar{\gamma} \in B$ , or, equivalently, to the points  $x$  of  $\gamma \subset M$ .

But, in order to prove the smoothness of  $B_\gamma^\alpha \setminus \{\bar{\gamma}\}$ , we first remark that the surface  $C_\gamma^\alpha \subset \mathbb{P}(C)$ , defined as follows, is smooth:

$$C_\gamma^\alpha := \{v \in \mathbb{P}(C)_x | x \in \gamma, v \subset F_\gamma^\alpha\},$$

where  $F_\gamma^\alpha$  is the  $\alpha$ -plane containing  $\dot{\gamma}$ .  $C_\gamma^\alpha$  is smooth, and  $p(C_\gamma^\alpha) = B_\gamma^\alpha$ . We note now that  $C_\gamma^\alpha$  is everywhere, except at the points of  $p^{-1}(\bar{\gamma})$ , transverse to the fibers of the submersion  $p : \mathbb{P}(C) \rightarrow B$ . We may conclude that  $B_\gamma^\alpha \setminus \{\gamma\}$  is a smooth analytic submanifold of  $B$  (not closed).

We can use similar methods to prove that  $Z^\gamma \setminus \{\bar{\beta}\}$  is an immersed submanifold of  $Z$  (by using the projection  $\pi : B \rightarrow Z$ ).  $\square$

There is another argument for this latter claim, which gives the tangent space to  $Z^\gamma$  at any point.

We see  $Z^\gamma$  as the *trajectory* of a 1-parameter deformation of  $Z_x$ : we fix  $\bar{\beta}$  and we “turn”  $Z_x$  around  $\bar{\beta}$  by keeping it tangent to  $F^\gamma$ . The trajectory of this deformation is smooth in  $\zeta \in Z^\gamma \setminus \beta$  iff any non-identically-zero section  $\nu$  of the normal bundle  $N(Z_x)$  corresponding to this 1-parameter deformation does not vanish at  $\zeta$ . In particular, the tangent space  $T_\zeta Z^\gamma$  is spanned by  $T_\zeta Z_x$  and  $\nu(\zeta)$ .

But the sections  $\nu$  generating this deformation are the sections of  $N(Z_x)$  vanishing at  $\bar{\beta}$ , and they vanish at only one point (and even there, only to order 0) unless they are identically zero, because  $N(Z_x) \simeq \mathcal{O}(1) \oplus \mathcal{O}(1)$ .

*Remark.* The values of these sections at the points of  $Z_x$  other than  $\bar{\beta}$ , plus their derivatives at  $\bar{\beta}$  (well-defined as they all vanish at  $\bar{\beta}$ ), define a 1-dimensional subbundle of  $N(Z_x)$  which is isomorphic to  $\mathcal{O}(1)$ . In fact, we have a 1–1 correspondence between the subbundles of  $N(Z_x)$  isomorphic to  $\mathcal{O}(1)$  and the 2-planes in  $T_{\bar{\beta}}Z$ . Then, the space of holomorphic sections of such a bundle is a linear space of dimension 2, consisting of a family of sections of  $N(Z_x)$  vanishing on *different* points of  $Z_x$ . Thus we get a 2-plane  $F_x^\alpha$  of isotropic vectors in  $T_x M$ , which is easily seen to be an  $\alpha$ -plane, as the  $\beta$ -plane  $F_x^\beta = T_x \beta$  consists of the set of all sections of  $N(Z_x)$  vanishing at  $\bar{\beta}$  (we have  $F_x^\alpha \cap T_x \beta = T_x \gamma$ ). The tangent space to  $Z^\gamma$  at a point  $\zeta \in Z_x$  is spanned by the subbundle of  $N(Z_x)$  (isomorphic to  $\mathcal{O}(1)$ —see above) defined by the isotropic vectors  $v \in F_x^\alpha$ . If  $\gamma^\zeta$  is the null-geodesic generated by  $v^\zeta$ , we conclude that  $T_\zeta Z^\gamma$  is the 2-plane determined by  $\gamma^\zeta$ , and that  $\zeta = \pi(\overline{\gamma^\zeta})$ . See Figure 2.

**Example.** If  $M = \mathbb{P}(E) \times \mathbb{P}(E)^* \setminus \mathcal{F}$ , then the integral  $\alpha$ -cone  $Z^\gamma$  in  $Z$ , for  $\gamma \equiv F^\gamma = F^\varphi \subset T_{(L,l)}Z$  (where  $\varphi : \mathbb{P}(l) \rightarrow \mathbb{P}(L^\circ)$  is a projective diffeomorphism), is the (smooth away from the vertex  $(L, l)$ ) surface  $\{(S, \varphi(s)) | S \neq L, s \neq l, \varphi(s \cap l) = S\}$ . Its compactification (by adding the *special cycle*  $\bar{Z}_{(L,l)}$ ) is singular (Subsection 7.4).

As any smooth surface in  $Z$  has a canonical lift in  $B = \mathbb{P}(T^*Z)$ , we get

**Proposition 5.** *The integral  $\alpha$ -cone  $B_\gamma^\alpha$  is the canonical lift of the integral  $\alpha$ -cone  $Z^\gamma$  on  $Z$ . See Figure 3.*

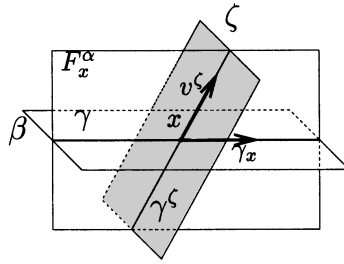


FIGURE 2.

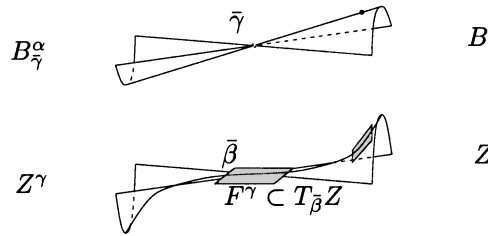


FIGURE 3.

*Remark.* Basically, this lift can only be defined for  $Z^\gamma \setminus \{\bar{\beta}\}$ , but in this special case it can be extended by continuity to  $\bar{\beta}$ . Of course, the smoothness of the lifted surface can only be deduced away from the vertex  $\bar{\gamma}$  (from the smoothness of  $Z^\gamma \setminus \{\bar{\beta}\}$ ).

#### 4. THE PROJECTIVE CURVATURE OF THE $\alpha$ -CONE $V_\gamma^\alpha$ AND THE SELF-DUAL WEYL TENSOR $W^+$ ON $\mathbf{M}$

As noted in Section 3, we intend to find a relation between the “curvature” of the  $\alpha$ -cone  $V_\gamma^\alpha$  (its non-flatness) and the Weyl tensor  $W^+$  of  $(\mathbf{M}, c)$ . We begin by defining the *projective curvature* of  $V_\gamma^\alpha$ : A projective structure on a manifold  $X$  is an equivalence class of linear connections yielding the same geodesics. In such a space, we can define the *projective curvature* of a curve  $S$  at a point  $\sigma$  as the linear application  $k : T_\sigma S \otimes T_\sigma S \rightarrow N(S)_\sigma = T_\sigma X / T_\sigma S$ , with  $k(Y) := \nabla_Y Y$  (modulo  $T_\sigma S$ ), for  $\nabla$  any connection in the projective structure of  $X$ . In particular, we take for  $X$  the projective space  $\mathbb{P}(T_{\bar{\gamma}} B)$ , with its canonical projective structure, and for  $S$  we take  $\mathbb{P}(V_\gamma^\alpha)$ , the projectivized  $\alpha$ -cone in  $\bar{\gamma}$ .

**Definition 10.** The *projective curvature of the  $\alpha$ -cone  $V_\gamma^\alpha$  at the generating line  $\sigma \subset V_\gamma^\alpha$*  is the projective curvature of  $S := \mathbb{P}(V_\gamma^\alpha)$  in  $\sigma$ , and is identified with a linear application

$$K_{\gamma,x}^\alpha : T_\sigma S \otimes T_\sigma S \rightarrow N(S)_\sigma,$$

where  $\sigma$  is the tangent direction to  $B_{\gamma,x}^\alpha$  in  $\bar{\gamma}$ .

In order to compute the projective curvature of  $V_\gamma^\alpha$ , we first establish some canonical isomorphisms between the spaces appearing in the above definition and some linear subspaces of  $T_x \mathbf{M}$ . We fix the geodesic  $\gamma$ , the point  $x \in \gamma$  (therefore

also  $\sigma = T_{\bar{\gamma}} B_{\bar{\gamma},x}^\alpha \in \mathbb{P}(T_{\bar{\gamma}} B)$ , and, thus, the  $\alpha$ -plane  $F_x^\alpha \subset T_x \mathbf{M}$  containing  $\dot{\gamma}_x$ , as well as  $\dot{\gamma}_x^\perp \subset T_x \mathbf{M}$ , the space orthogonal to  $\dot{\gamma}_x$ .

For simplicity, in the following lemmas we will omit some indices referring to these fixed objects.

**Lemma 2.** *There is a canonical isomorphism  $\tau$  between the tangent space  $T_\sigma S$  to the projective cone  $S = \mathbb{P}(V_{\bar{\gamma}}^\alpha)$  and the tangent space  $T_x \gamma$  to the geodesic  $\gamma$  at the point  $x$  corresponding to the direction  $\sigma \in \mathbb{P}(T_{\bar{\gamma}} B)$ .*

*Proof.* Let  $Y \in T_x \gamma$ . We define  $\tau^{-1}(Y)$  as follows. Recall that  $T_\sigma S \simeq \text{Hom}(\sigma, E/\sigma)$ , where  $E (= E_x) := T_\sigma V_{\bar{\gamma}}^\alpha$  (the tangent space at a point to a cone is the same for all points on the line containing the point). We know that  $\sigma$  corresponds to  $\mathcal{J}_{\gamma,x}^\alpha$ , the space of Jacobi fields on  $\gamma$  vanishing at  $x$  and such that  $\dot{J}_x \in F^\alpha$ . It will be shown in the proof of the next theorem that  $E$  consists of classes of Jacobi vector fields such that  $J_x, \dot{J}_x \in F^\alpha$ , (4).

Then, on a representative Jacobi field  $J \in \mathcal{J}_{\gamma,x}^\alpha$ , we define  $\tau^{-1}(Y)$  to be the class of Jacobi fields in  $E/\sigma$  represented by the Jacobi field  $J^Y$  on  $\gamma$  which is given by  $J_x^Y := \nabla_Y J$ ,  $\dot{J}_x^Y := 0$ . We remark that  $\nabla_Y J$  is what we usually denote  $\dot{J}$ , when the parameter on  $\gamma$  is understood.

It is straightforward to check that  $J \mapsto J^Y$  induces an isomorphism  $\tau^{-1}(Y) : \sigma \rightarrow E/\sigma$  for each non-zero  $J \in \sigma = \mathcal{J}_{\gamma,x}^\alpha / \mathcal{J}_\gamma^\gamma$ .  $\square$

We remark that  $V_{\bar{\gamma}}^\alpha \subset V_{\bar{\gamma}}$ , the 4-dimensional subspace represented by Jacobi fields  $J$  such that  $J, \dot{J} \perp \dot{\gamma}$ . We further introduce the subspace  $H_{\bar{\gamma},x}^\alpha \subset V_{\bar{\gamma}}$ , represented by Jacobi fields  $J$  as before, with the additional condition  $\dot{J}_x \in F_x^\alpha$ . It is a 3-dimensional subspace, and it contains  $E_x$ . The curvature of  $V_{\bar{\gamma}}^\alpha$  will take values in  $\text{Hom}(TS \otimes TS, N^V(S))$ , and we show ((6) in the proof of the next theorem) that it takes values in a smaller space,  $\text{Hom}(TS \otimes TS, N^H(S))$ .  $N_\sigma^V(S) \simeq \text{Hom}(\sigma, V_{\bar{\gamma}})/T_\sigma S$  is just the normal space of  $S$  in  $\mathbb{P}(V_{\bar{\gamma}})$  at  $\sigma$ , and  $N^H(S)$  is the subspace of  $N_\sigma^V(S)$  consisting of elements represented by  $\xi \in \text{Hom}(\sigma, H_{\bar{\gamma},x}^\alpha) \subset \text{Hom}(\sigma, V_{\bar{\gamma}})$ .

**Lemma 3.** *There is a canonical isomorphism*

$$\rho : N^H(S) \rightarrow \text{Hom}(F^\alpha/T\gamma, \gamma^\perp/F^\alpha).$$

*Proof.* As  $H$  is a subbundle of the normal bundle  $N(S)$ ,  $N^H(S)$  is isomorphic to  $\text{Hom}(\sigma, H/E)$ . As in Lemma 2, we will construct the inverse isomorphism  $\rho^{-1}$ . Let  $\xi : F^\alpha/T\gamma \rightarrow \gamma^\perp/F^\alpha$  be a linear application. Let  $\xi_0 : F^\alpha \rightarrow \gamma^\perp$  be a representant of  $\xi$  (it involves a choice of a complementary space to  $F^\alpha$  in  $\gamma^\perp$ ). We define  $\rho^{-1}(\xi) \in \text{Hom}(\sigma, H/E)$  as being induced by the following linear application between spaces of Jacobi fields on  $\gamma$ .

$\rho^{-1}(\xi) : \mathcal{J}_{\gamma,x}^\alpha \rightarrow \mathcal{J}_{\gamma,x}^{\alpha,\perp}$ , where the second space corresponds to  $H_x$ , i.e. it contains Jacobi fields  $J$  such that  $J_x \in F^\alpha$ ,  $\dot{J}_x \perp \dot{\gamma}_x$ . Consider a parameterization of  $\gamma$  around  $x$ , and let  $J \in \mathcal{J}_{\gamma,x}^\alpha$ . We define  $J^\xi := \rho^{-1}(\xi)(J)$  by  $J_x^\xi := 0$ ,  $\dot{J}_x^\xi := \xi_0(\dot{J}_x)$ , and it is easy to check that the class of  $J^\xi$  in  $H/E$  is independent of the representant  $\xi_0$  such that  $\rho^{-1}$  is well-defined. It is also obviously invertible.  $\square$

We are now in position to translate the projective curvature of  $V_\gamma^\alpha$  in terms of conformal invariants of  $(\mathbf{M}, c)$ .

**Theorem 1.** *Let  $x$  be a point on a null-geodesic  $\gamma$ . Then the projective curvature  $K$  of the  $\alpha$ -cone  $V_\gamma^\alpha$  at  $\sigma$  (corresponding to  $x$ , see Definition 10), which is a linear map*

$$K : T_\sigma S \otimes T_\sigma S \rightarrow N^V(S)_\sigma,$$

*takes values in  $N^H(S)_\sigma$  (see above), and is canonically identified with the linear map*

$$K' : T_x \gamma \otimes T_x \gamma \rightarrow \text{Hom}(F_x^\alpha / T_x \gamma, \gamma_x^\perp / F_x^\alpha)$$

*defined by the self-dual Weyl tensor of  $\mathbf{M}$ :*

$$K'(Y, Y)(X) = W^+(Y, X)Y, \quad Y \in T_x \gamma, X \in F_x^\alpha.$$

*Proof.* Consider the following analytic map, which parameterizes, locally around  $x \in \gamma$ , the deformations of the geodesic  $\gamma$  that correspond to points contained in the integral  $\alpha$ -cone  $B_\gamma^\alpha$ :

$$f : U \rightarrow \mathbf{M}, \quad f(t, s, u) = \gamma^{t,s}(u),$$

where  $U$  is a neighborhood of the origin in  $\mathbb{C}^3$ , and  $\gamma^{t,s}$  is a deformation of the null-geodesic  $\gamma$ , such that

$$\gamma^{t,s}(t) = \gamma(t), \quad \dot{\gamma}^{t,s}(t) \in F_{\gamma(t)}^\alpha,$$

where the parameterization of the geodesic  $\gamma$  satisfies  $\gamma(0) = x$ , and  $F_{\gamma(u)}^\alpha$  is the  $\alpha$ -plane in  $T_{\gamma(u)}\mathbf{M}$  containing  $\dot{\gamma}(u)$ .

**Convention.** We know that  $f$  is defined around the origin in  $\mathbb{C}^3$ , so there exists a polydisc centered at the origin included in  $U$ , and so all the relations that we will use are true for values of the variables  $t, s, u$  sufficiently close to 0. For simplicity, we will not mention these domains.

The geodesics  $\gamma^{t,s}$  correspond to points in  $B_{\gamma, \gamma(t)}^\alpha$ , and the Jacobi fields  $J^t$  on  $\gamma$ , defined as

$$J^t(u) := \partial_s f(t, 0, u) \in T_{\gamma(u)}\mathbf{M},$$

correspond to vectors in  $V_\gamma^\alpha$  tangent to the above-mentioned lines. We suppose that the deformation  $f$  is *effective*, i.e.  $\partial_u \gamma^{t,s}(u) \neq 0$  and  $J^t \notin \mathcal{J}_\gamma^\gamma$ , which is equivalent to  $\dot{J}^t(t) \notin T_{\gamma(t)}\gamma$ . In order to compute the projective curvature of  $V_\gamma^\alpha$ , we thus need to study the (second order) infinitesimal variation of these Jacobi fields on  $\gamma$ . As they are determined by their value and first order derivative in  $\gamma(0) = x$ , we need to evaluate  $\partial_t J^t(0)|_{t=0}$ ,  $\partial_t \dot{J}^t(0)|_{t=0}$  for the first derivative of  $J^t$  at  $t = 0$ , and  $\partial_t^2 J^t(0)|_{t=0}$ ,  $\partial_t^2 \nabla J^t(0)|_{t=0}$  for the second. Dots mean, as before, covariant differentiation with respect to the “speed” vector  $\dot{\gamma}$ ; thus they correspond to the operator  $\partial_u$ .

As the covariant derivation  $\nabla$  has no torsion, we can apply the usual commutativity relations between the operators  $\partial_t, \partial_s, \partial_u$  and use them to differentiate the following equation, which follows directly from the definition of  $f$  and  $J^t$ :

$$(1) \quad J^t(t) = 0 \quad \forall t.$$

We get then

$$(2) \quad \partial_t J^t(t) + \dot{J}^t(t) = 0,$$

We recall now that, besides (1), we have  $\dot{J}^t(t) \in F_{\gamma(t)}^\alpha$ ; thus  $\dot{J}^t(t)$  is isotropic, which implies that

$$(3) \quad \langle \partial_t \dot{J}^t(t), \dot{J}^t(t) \rangle = 0,$$

as  $\ddot{J}^t(t) = R(\dot{\gamma}(t), J^t(t))\dot{\gamma}(t) = 0$ . Equations (2) and (3) prove that

$$(4) \quad \partial_t J^t|_{t=0} \in \mathcal{J}_{\gamma,x}^\alpha,$$

which completes the proof of Lemma 2. From (3), it equally follows that  $\partial_t \dot{J}^t(t)$  is isotropic, and, by differentiating (3), we get

$$(5) \quad \langle \partial_t^2 \dot{J}^t(t), \dot{J}^t(t) \rangle = -\langle \partial_t \ddot{J}^t(t), \dot{J}^t(t) \rangle.$$

From (2) we have that  $\partial_t J^t(t)$  is isotropic, and also

$$\partial_t^2 J^t(t) + 2\partial_t \dot{J}^t(t) = 0,$$

which, together with (3), implies that  $\partial_t^2 J^t(0)|_{t=0} \in F_x^\alpha$ . Then we have

$$(6) \quad \partial_t^2 J^t|_{t=0} \in \mathcal{J}_{\gamma,x}^{\alpha,\perp},$$

which proves that the curvature  $K$  of the  $\alpha$ -cone takes values in  $N^H(S)$ , as it is represented by  $\partial_t^2 J^t|_{t=0}$ .

In view of Lemmas 2 and 3, it is clear now that the projective curvature  $K$  is represented by the following application:

$$(\dot{\gamma}, \dot{\gamma}, \dot{J})_x \longmapsto \partial_t^2 J^t(0)|_{t=0}.$$

From (5), as  $\partial_t \ddot{J}^t(t) = R(\dot{\gamma}, \partial_t J^t)\dot{\gamma}$  and  $\partial_t J^t(t) = -\dot{J}^t(t)$ , we get

$$\langle K(\dot{\gamma}, \dot{\gamma})(\dot{J}), \dot{J} \rangle = \langle R(\dot{\gamma}, \dot{J})\dot{\gamma}, \dot{J} \rangle.$$

The right-hand side actually involves only  $W^+$ , as the other components of the Riemannian curvature vanish on this combination of vectors. Thus we can replace  $R$  by  $W^+$  in the above relation. On the other hand, the class of  $W^+(\dot{\gamma}, \dot{J})\dot{\gamma}$  modulo  $F^\alpha$  is determined by its scalar product with  $\dot{J}$ , which represents a non-zero generator of  $F^\alpha/T\gamma$ .

The proof of the theorem is now complete.  $\square$

*Remark.* We may ask whether the projective lines in  $Z$  are the geodesics of some projective structure. Indeed, in the conformally flat case, when  $\mathbf{M}$  is the Grassmannian of 2-planes in  $\mathbb{C}^4$  (the complexification of the Möbius 4-sphere),  $Z \simeq \mathbb{CP}^3$ , and the complex lines are given by the standard (flat) projective structure. But there are two reasons (related to each other, as we will soon see) why  $Z$  cannot carry, in general, a canonical projective structure. First, we do not necessarily have projective lines  $Z_x \ni \bar{\beta}$  in every direction of  $T_{\bar{\beta}}Z$  (this would mean that  $\beta \simeq \mathbb{CP}^2$ , see the next section for a treatment of this problem), and second, the lift of a 2-plane  $F^\gamma \subset T_{\bar{\beta}}Z$  would be a 2-plane in  $T_{\bar{\gamma}}B$ , so  $V_{\bar{\gamma}}^\alpha$  would be a flat cone.

**Corollary 1.** *The projective lines  $Z_x$  in the twistor space  $Z$  are geodesics of a projective structure iff it is projectively flat and  $\mathbf{M}$  is conformally flat.*

*Proof.* If  $Z$  admits a projective structure, some of whose geodesics are the lines  $Z_x$ , then we have, for a fixed  $\bar{\beta} \in Z$ , a linear connection around  $\bar{\beta}$ , whose geodesics in the directions of  $Z_x$ ,  $\bar{\beta} \in Z_x (\Leftrightarrow x \in \beta \subset \mathbf{M})$  coincide, locally, with  $Z_x$ . This means that the integral  $\alpha$ -cone  $Z^\gamma$ , for  $\gamma \subset \beta$  a null-geodesic, is part of a complex surface (namely  $\exp(F^\gamma)$ , where  $F^\gamma \subset T_{\bar{\beta}}Z$  is the 2-plane corresponding to  $\gamma$ ). Then the

integral  $\alpha$ -cone  $B_{\bar{\gamma}}^{\alpha}$ , the lift to  $B$  of  $Z^{\gamma}$ , is also a complex surface, and so  $V_{\bar{\gamma}}^{\alpha}$  is a subset of the tangent space  $T_{\bar{\gamma}}B_{\bar{\gamma}}^{\alpha}$ , thus a flat cone. As this is true for all points of  $Z$  and for all null-geodesics  $\gamma$ , Theorem 1 implies that  $\mathbf{M}$  is flat.

On the other hand, it is well-known that the twistor space of a conformally flat manifold admits a flat projective structure, for which the projective lines  $Z_x$  are geodesics, [1].  $\square$

## 5. COMPACTNESS OF NULL-GEODESICS AND CONFORMAL FLATNESS

**5.1. Complete  $\alpha$ -cones in  $Z$ .** We have given, in the preceding section, a way to measure the projective curvature of the  $\alpha$ -cone in  $B$ ; we shall see now what happens in the special case when this cone is *complete* at a point  $\bar{\gamma}$ , i.e. when  $\mathbb{P}(V_{\bar{\gamma}}^{\alpha})$  is a compact submanifold in  $\mathbb{P}(T_{\bar{\gamma}}B)$ .

This situation appears for example if, for every direction in  $F^{\gamma} \subset T_{\bar{\beta}}Z$ , there are projective lines in  $Z$  tangent to it.

**Theorem 2.** *Let  $Z$  be the twistor space of the connected civilized self-dual 4-manifold  $(\mathbf{M}, c)$ , and suppose that, for a point  $\beta \in Z$  and for a 2-plane  $F^{\alpha} \subset T_{\bar{\beta}}Z$ , there are projective lines  $Z_x$  tangent to each direction of  $F^{\alpha}$ . Then  $(\mathbf{M}, c)$  is conformally flat.*

*Proof.* The idea is to prove that the integral  $\alpha$ -cone  $Z^{\gamma}$  is a smooth surface. We know that this holds at all its points except the vertex  $\bar{\beta}$  (Proposition 4). The fact that all directions in  $F^{\gamma}$  admit a tangent line is a necessary condition for this cone to be a smooth surface, as it needs to be well-defined around  $\bar{\beta}$ .

We choose an auxiliary Hermitian (real) metric  $h$  on  $Z$ . Its restrictions  $h_x$  to the lines  $Z_x \subset Z^{\gamma}$  yield Kählerian metrics on these lines; in fact these metrics are deformations of one another, just like the lines  $Z_x$  are. This means that the metrics  $h_x$  depend continuously on  $x \in \mathbb{P}(F^{\alpha})$ , a parameter in a compact set. We can therefore find a lower bound  $r_0 > 0$  for the injectivity radius of all  $(Z_x, h_x)$  at  $\bar{\beta}$ , and a finite upper bound  $R$  for the norm of all the second fundamental forms  $H_x : TZ_x \otimes TZ_x \rightarrow (TZ_x)^{\perp} (\subset TZ)$ . We can also suppose that  $r_0$  is smaller than the injectivity radius of  $(Z, h)$  at  $\bar{\beta}$ .

The first step is to prove that  $Z^{\gamma}$  is a submanifold of class  $\mathcal{C}^1$ . As its tangent space is everywhere a complex subspace of  $TZ$ , it will follow that it is a complex analytic submanifold.

Consider now the exponential map  $\exp_{\bar{\beta}} : T_{\bar{\beta}}Z \rightarrow Z$ , defined for the metric  $h$ . If we restrict it to a ball of radius less than  $r_0$ , it is a diffeomorphism into  $Z$ . The image of the complex plane  $F^{\alpha}$  is then a smooth 4-dimensional real submanifold  $S$  of  $Z$ , and there exists a positive number  $r_1$  such that the exponential map in the directions normal to  $S$ ,

$$\exp_S : TS^{\perp} \rightarrow Z, \exp(Y) := \exp_y(Y), \quad y \in S, Y \in T_y S^{\perp},$$

restricted to the vectors of length less than  $r_1$ , is a diffeomorphism.

The image of this diffeomorphism is a tubular neighborhood of  $S$ , and we will denote by  $N(S, r)$  such a tubular neighborhood of “width”  $r$ , for  $r < r_1$ .

The existence of an upper bound  $R$  for the second fundamental forms of  $Z_x, \forall x \in \gamma$ , implies the following fact.

**Lemma 4.** *For any  $r < r_1$ , there is a neighborhood  $U \subset T_{\bar{\beta}}Z$  of the origin such that  $\exp(U) \cap Z^\gamma$  is contained in  $N(S, r)$  and is transverse to the fibers of the orthogonal projection  $p^S : N(S, r) \rightarrow S$ ,  $p^S(\exp(Y)) := y$ , where  $Y \in T_y S$ .*

This is standard if  $Z^\gamma$  is a submanifold; but it is also true in our case, where  $Z^\gamma$  is a union of submanifolds  $Z_x$ .

Now it is easy to prove that  $Z^\gamma$  is a  $\mathcal{C}^1$  submanifold of  $Z$  (the projection  $p^S$  yields a local  $\mathcal{C}^1$  diffeomorphism from a neighborhood of  $\bar{\beta}$  in  $S$  to a neighborhood of  $\bar{\beta}$  in  $Z^\gamma$ ; it is  $\mathcal{C}^1$  at  $\bar{\beta}$  because  $S$  is tangent to  $Z^\gamma$  at  $\bar{\beta}$ ).

So  $Z^\gamma$  is a  $\mathcal{C}^1$  submanifold of  $Z$ . Its tangent space is complex at each point, and so  $Z^\gamma$  is a complex-analytic surface immersed in  $Z$ .

Then  $B_{\bar{\gamma}}^\alpha \subset B = \mathbb{P}(T^*Z)$ , being the lift of  $Z^\gamma$ , is a smooth analytic surface immersed in  $B$ ; in particular, the  $\alpha$ -cone  $V_{\bar{\gamma}}^\alpha$  is a complex plane.

Theorem 1 implies that  $W^+$  vanishes on the  $\alpha$ -plane  $F_x^\alpha \subset T_x \mathbf{M}$  which contains  $\dot{\gamma}_x$ , for every point  $x \in \gamma$ . Now, the plane  $F^\gamma \subset T_{\bar{\beta}}Z$  is not the only one admitting projective lines  $Z_x$  tangent to any of its directions: all planes “close” to  $F^\gamma$  have the same property. Then  $W^+$  vanishes on a neighborhood of  $\gamma$ , hence on the whole connected manifold  $\mathbf{M}$ .  $\square$

*Remark.* There is a more general situation where the integral  $\alpha$ -cone  $Z^\gamma$  through  $\beta$  is smooth in  $\beta$ .

**Theorem 2’.** *Suppose that, for each direction  $\sigma \in \mathbb{P}(T_\beta Z)$ , there is a smooth (not necessarily compact) curve  $Z_\sigma$ , tangent to  $\sigma$ , such that*

- (i) *if  $\sigma$  is tangent to a projective line  $Z_x$ , then  $Z_\sigma = Z_x$ , and*
- (ii)  *$Z_\sigma$  varies smoothly with  $\sigma \in \mathbb{P}(F^\gamma)$ .*

*Then*

$$\bar{Z}_\beta^\gamma := \bigcup_{\sigma \in \mathbb{P}(F^\gamma)} Z_\sigma$$

*is a smooth surface around  $\beta$  containing the  $\alpha$ -cone  $Z^\gamma$ , and  $W^+(F_x^\gamma) = 0$ ,  $\forall x \in \gamma$ , where  $F_x^\gamma \subset T_x \mathbf{M}$  is the  $\alpha$ -plane containing  $\dot{\gamma}$ .*

The proof is similar to that of the previous theorem. Note that, if there is a direction  $\sigma$  which is not tangent to a projective line  $Z_x$ , we cannot apply the deformation argument in Theorem 2 to conclude that  $W^+$  vanishes everywhere.

**Example.** If  $\mathbf{M} = \mathbb{P}(E) \times \mathbb{P}(E)^* \setminus \mathcal{F}$ , then  $Z = \mathcal{F}$  and there are some particular planes for which the conditions in Theorem 2’ are satisfied, although Theorem 2 never applies to  $Z$ : for a generic 2-plane  $F^\gamma$ , the  $\alpha$ -cone  $V_\gamma^\alpha$  is not flat. These particular planes in  $TZ$  correspond to the vanishing of  $W^+$  on some particular  $\alpha$ -planes, but  $\mathbf{M}$  is not anti-self-dual (see Section 7.3, and also 7.7, 7.8).

The following result is a direct consequence of Theorem 2:

**Theorem 3.** *If a civilized self-dual complex 4-manifold  $(\mathbf{M}, c)$  admits a compact null-geodesic, then the conformal structure of  $\mathbf{M}$  is flat, and the null-geodesic is simply-connected.*

We simply have to use the fact that a null-geodesic  $\gamma$  of a civilized self-dual manifold identifies with an open set of  $\mathbb{P}(F^\gamma)$ , where  $F^\gamma$  is the associated 2-plane in  $T_{\bar{\beta}}Z$ , where  $\beta \supset \gamma$ .

The condition that  $\mathbf{M}$  be civilized is not essential, if we assume that  $\gamma$  is simply-connected (and compact); in order to prove that, we need to cover  $\gamma$  with civilized



(e.g. geodesically connected) open sets  $U_i$ , and relate the local twistor spaces  $Z_i := Z(U_i)$ ; the key point is that, if  $\gamma$  is diffeomorphic to  $\mathbb{CP}^1$ , it turns out that a neighbourhood of  $\bar{\beta} \in Z_i$ —for  $\beta$  the  $\beta$ -surface containing  $\gamma$ —can be identified with the space of *deformations of  $\gamma$  as a compact curve* ([2], Proposition 5). Then we conclude, using the criterion from Theorem 2' and a deformation argument, that  $\mathbf{M}$  is conformally flat.

This method is used in [2] to prove the same thing starting from a conformal complex 3-manifold (using the *LeBrun correspondence*, i.e. the local realization of a conformal 3-manifold as the conformal infinity of a (germ-unique) self-dual manifold [12]), but we also show there, by different methods, that, in all generality, a conformal complex  $n$ -manifold ( $n \geq 4$ ) containing a compact, simply-connected null-geodesic is conformally flat ([2], Theorem 4).

## 6. THE PROJECTIVE STRUCTURE OF $\beta$ -SURFACES IN A SELF-DUAL MANIFOLD

The null-geodesics contained in a  $\beta$ -surface  $\beta$  define a *projective structure* on the totally-geodesic surface  $\beta$ , which is also given by any connection on  $\beta$  induced by a Levi-Civita connection on  $\mathbf{M}$ . We claim that this projective structure is *flat*, i.e. locally equivalent to  $\mathbb{CP}^2$ .

**Example.** If  $\mathbf{M} = \mathbb{P}(E) \times \mathbb{P}(E)^* \setminus \mathcal{F}$ , then a  $\beta$ -surface indexed by  $(L, l) \in \mathcal{F}$  is  $\beta^{(L, l)} = \{(A, a) | A \subset l, L \subset a, A \not\subset a\} \simeq \mathbb{C}^2$ , and the null-geodesics in  $\beta^{(L, l)}$  are identified with the affine lines in  $\mathbb{C}^2$  (see Section 7.5).

To prove the projective flatness of a 2-dimensional manifold  $\beta$ , we need to prove that the *Thomas tensor*  $T$  vanishes identically [20]. This tensor is an analog of the *Cotton-York tensor* in conformal geometry (there is also a *Weyl tensor* of a projective structure, but it only appears in dimensions greater than 2).

For a connection  $\nabla$  in the projective class of  $\beta$ , the Thomas tensor is defined as follows [20]: For  $X, Y, Z \in T\beta$ ,

$$(7) \quad \begin{aligned} T(X, Y, Z) := & -2(\nabla_Z K)(Y)X + 2(\nabla_Y K)(Z)X \\ & - (\nabla_Z K)(X)Y + (\nabla_Y K)(X)Z, \end{aligned}$$

where the derivation involves only the curvature term  $K$ , which is defined by  $K(Y)X := \text{tr} R(Y, \cdot)X$ , the trace of the endomorphism  $R(Y, \cdot)X \in \text{End}(T\beta)$ .

The Thomas tensor is independent of the connection  $\nabla$ . Therefore we will consider that  $\nabla$  is induced by a Levi-Civita connection on  $\mathbf{M}$ .

**Proposition 6.** *The Thomas tensor of a  $\beta$ -surface can be expressed in terms of the anti-self-dual Cotton-York tensor of  $\mathbf{M}$ . Thus it is identically zero.*

*Proof.* First we need to define the *anti-self-dual Cotton-York tensor* as an irreducible component of the Cotton-York tensor of  $\mathbf{M}$ .

**Convention.** We denote by  $C$  the Cotton-York tensor of  $(\mathbf{M}, c)$ ; we will not use this letter for the isotropic cone in this section.

The Cotton-York tensor is not conformally invariant; its definition depends on a (local) metric  $g$  in the conformal structure, which is supposed to be fixed [5]:

$$(8) \quad C(X, Y)(Z) := (\nabla_X h)(Y, Z) - (\nabla_Y h)(X, Z), \quad \forall X, Y, Z \in T\mathbf{M},$$

where  $h$  is the normalized Ricci tensor of  $\mathbf{M}$ ,

$$(9) \quad h = \frac{1}{2n(n-1)} \text{Scal} \cdot g + \frac{1}{n-2} \text{Ric}_0,$$

$\text{Ric}_0$ ,  $\text{Scal}$  being the trace-free Ricci tensor, resp. the scalar curvature of the metric  $g$ , and  $n := \dim \mathbf{M}$ . In our case  $n = 4$ , but the formula applies in all dimensions greater than 2 [5].

*Remark.* The Cotton-York tensor  $C$  of  $\mathbf{M}$  is a 2-form with values in  $T^*\mathbf{M}$ ; thus it has two components,  $C^+ \in T^*\mathbf{M} \otimes \Lambda^+\mathbf{M}$ , and  $C^- \in T^*\mathbf{M} \otimes \Lambda^-\mathbf{M}$ .  $C$  satisfies a *first* Bianchi identity, due to the fact that  $h$  is a symmetric tensor, and also a *contracted* (second) Bianchi identity, which comes from the second Bianchi identity in Riemannian geometry, [5]:

$$(10) \quad \sum C(X, Y)(Z) = 0, \text{ circular sum;}$$

$$(11) \quad \sum C(X, e_i)(e_i) = 0, \text{ trace over an orthonormal basis.}$$

That means that  $C \in \Lambda^2\mathbf{M} \otimes \Lambda^1\mathbf{M}$ , and is orthogonal on  $\Lambda^3\mathbf{M} \subset \Lambda^2\mathbf{M} \otimes \Lambda^1\mathbf{M}$  and on  $\Lambda^1\mathbf{M}$ , which is identified with the image in  $\Lambda^2\mathbf{M} \otimes \Lambda^1\mathbf{M}$  by the metric adjoint of the contraction (11).

Now, the Hodge operator  $*$  :  $\Lambda^2\mathbf{M} \rightarrow \Lambda^2\mathbf{M}$  induces a symmetric endomorphism of  $\Lambda^2\mathbf{M} \otimes \Lambda^1\mathbf{M}$ , which maps the two above spaces isomorphically into each other. This implies that  $C^+$  and  $C^-$  satisfy (10) and (11) (note that these two relations are equivalent in their case) and are, therefore,  $SO(4, \mathbb{C})$ -irreducible.

The Cotton-York tensor is related to the Weyl tensor of  $\mathbf{M}$  by the formula [5]

$$(12) \quad \delta W = C,$$

where  $\delta : \Gamma(\Lambda^2\mathbf{M} \otimes \Lambda^2\mathbf{M}) \rightarrow \Gamma(\Lambda^2\mathbf{M} \otimes \Lambda^1\mathbf{M})$  is induced by the codifferential on the second factor, and by the Levi-Civita connection  $\nabla$  on the first. Then,  $C^+$  has to be the component of  $\delta W$  in  $\Lambda^+\mathbf{M} \otimes \Lambda^1\mathbf{M}$ , and we know that the restriction of  $W^-$  to  $\Lambda^+\mathbf{M} \otimes \Lambda^2\mathbf{M}$  is identically zero. This means that

$$(13) \quad \delta W^+ = C^+, \text{ and also}$$

$$(14) \quad \delta W^- = C^-.$$

Hence, as  $\mathbf{M}$  is self-dual,  $C^-$  vanishes identically.

We can prove now that the Thomas tensor of a  $\beta$ -surface  $\beta$  is identically zero. First we show that

$$(15) \quad K(Y)X = \text{tr}|_{T\beta} R(Y, \cdot)X = h(X, Y), \quad \forall X, Y \in T\beta.$$

We recall from [5] that the *suspension*  $h \wedge \mathbf{I}$  of  $h$  by the identity, viewed as an endomorphism of  $\Lambda^2\mathbf{M}$ , is defined by

$$(16) \quad (h \wedge \mathbf{I})(X, Y) := h(X) \wedge Y - h(Y) \wedge X, \quad X, Y \in T\mathbf{M},$$

where  $h$  is identified with a symmetric endomorphism of  $T\mathbf{M}$ .

We have then the following decomposition of the Riemannian curvature [5]:

$$R = h \wedge \mathbf{I} + W^+ + W^-.$$

Of course, if  $\mathbf{M}$  is self-dual, then  $W^- = 0$  and  $W^+(X, Y) = 0$  if  $X, Y \in T\beta$  (in fact, the elements in  $\Lambda^2 F^\beta$ , for any  $\beta$ -plane  $F^\beta \subset T_x\mathbf{M}$ , correspond to the isotropic vectors in  $\Lambda^-\mathbf{M}$ ), because  $W^+|_{\Lambda^-\mathbf{M}} = 0$ . Then, if we choose the basis  $\{X, Y\}$  in

$T\beta$ , we get

$$\begin{aligned} K(Y)X &= \operatorname{tr}|_{T\beta}(h \wedge \mathbf{I})(Y, \cdot)X \\ &= \text{the component along } X \text{ of } (h \wedge \mathbf{I})(Y, X)X \\ &= h(Y, X), \end{aligned}$$

which proves (15). The Thomas tensor of the projective structure of  $\beta$  has the following expression (see (7)):

$$T(X, Y, Z) = -3(\nabla_Z h)(Y, X) + 3(\nabla_Y h)(Z, X) = 3C(Y, Z)(X), \quad \forall X, Y, Z \in T\beta,$$

and, as  $C^+(\cdot, \cdot)(X)$  vanishes on the anti-self-dual 2-form  $Y \wedge Z$ , we conclude that

$$(17) \quad T(X, Y, Z) = C^-(Y, Z)(X) = 0.$$

□

As the flatness of the projective structure on a 2-dimensional manifold is equivalent to the vanishing of its Thomas tensor [20], we get

**Corollary 2.** *The projective structure of the  $\beta$ -surfaces of a self-dual complex manifold  $\mathbf{M}$  is flat.*

From the classification of projectively flat compact complex surfaces ([9], see also [7]), we then get a classification of compact  $\beta$ -surfaces in  $\mathbf{M}$ :

**Theorem 4.** *A compact  $\beta$ -surface of a self-dual complex 4-manifold belongs (up to finite covering) to one of the following classes:*

- (1)  $\mathbb{CP}^2$ ;
- (2) a compact quotient of the complex-hyperbolic plane  $\mathbf{H}_{\mathbb{C}}^2/\Gamma$ ;
- (3) a compact complex surface admitting a (flat) affine structure:
  - (i) a Kodaira surface;
  - (ii) a properly elliptic surface with  $b_1$  odd;
  - (iii) an affine Hopf surface;
  - (iv) an Inoue surface;
  - (v) a complex torus.

See [7], [9], [11] for details.

## 7. EXAMPLES

**7.1. The flat case.** The first example is the “flat” case:  $Z = \mathbb{CP}^3 = \mathbb{P}(\mathbb{C}^4)$ , with its canonical projective structure and its space of projective lines  $\mathbf{M} = \operatorname{Gr}(2, \mathbb{C}^4)$ . ( $Z$  is equally the twistor space of the Riemannian round 4-sphere, which is, therefore, a real part of  $\operatorname{Gr}(2, \mathbb{C}^4)$ .) If  $\beta \in Z$ , then the  $\beta$ -surface associated to it is the set  $\{x \in \operatorname{Gr}(2, \mathbb{C}^4) | \beta \subset x \subset \mathbb{C}^4\}$ . In this flat case, we can equally define the  $\alpha$ -twistor space  $Z^*$ , which is the dual projective 3-space  $(\mathbb{CP}^3)^* := \mathbb{P}((\mathbb{C}^4)^*) = \operatorname{Gr}(3, \mathbb{C}^4)$ , and an  $\alpha$ -surface  $\alpha \in Z^*$  is the set  $\{x \in \operatorname{Gr}(2, \mathbb{C}^4) | x \subset \alpha \subset \mathbb{C}^4\} \subset \mathbf{M}$ . A null-geodesic  $\gamma$  is then determined by a pair of *incident* isotropic surfaces  $\alpha$  and  $\beta$  such that  $\alpha \cap \beta = \gamma$ , where  $\alpha$  is an  $\alpha$ -surface and  $\beta$  is a  $\beta$ -surface; *incident* means (see above) that  $\beta$ , seen as a line in  $\mathbb{C}^4$ , is *included* in  $\alpha$ , seen as a 3-plane in  $\mathbb{C}^4$ .  $\gamma$  is then the following set of points in  $\mathbf{M}$ :

$$\gamma = \{x \in \operatorname{Gr}(2, \mathbb{C}^4) | \beta \subset x \subset \alpha\}.$$

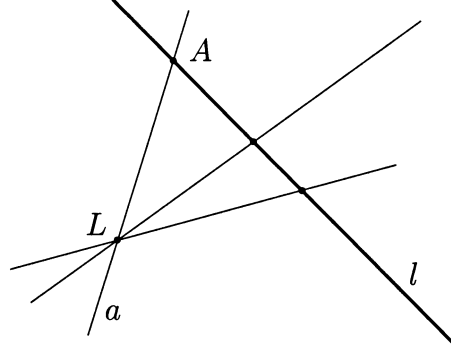


FIGURE 4.

$\alpha$ -surfaces and  $\beta$ -surfaces are diffeomorphic to  $\mathbb{CP}^2$ , null-geodesics to  $\mathbb{CP}^1$ , and the ambitwistor space  $B$  is the “partial flag” manifold

$$B = \{(\alpha, \beta) \in (\mathbb{CP}^3)^* \times \mathbb{CP}^3 \mid \beta \subset \alpha\}.$$

The flag manifold, of dimension 7, is isomorphic to the total space  $\mathbb{P}(C)$  of the projective cone bundle over  $\mathbf{M}$ .

7.2.  $\mathbb{CP}^2$ . Another example is when  $Z$  is the twistor space of the real Riemannian manifold  $\mathbb{CP}^2$ , with the Fubini-Study metric. Then  $Z$  is the manifold of flags in  $E = \mathbb{C}^3$ ,  $\mathcal{F} := \{(L, l) \in \mathbb{P}(E) \times \mathbb{P}(E)^* \mid L \subset l\}$  ( $\mathbb{P}(E)$ , resp.  $\mathbb{P}(E)^*$  are viewed as the space of lines, resp. 2-planes, in  $E$ ) [1]. A projective line  $Z_x$  in  $Z$  is a set

$$Z_x = \{(L, l) \in \mathcal{F} \mid L \subset a^x, A^x \subset l\}$$

(see Figure 4), where  $(A^x, a^x)$  belongs to  $\mathbb{P}(E) \times \mathbb{P}(E)^* \setminus \mathcal{F}$ , which is, therefore, the space  $\mathbf{M}$  of such lines, and a conformal self-dual 4-manifold. It can be naturally compactified within the space of analytic cycles of  $Z$  to  $\overline{\mathbf{M}} = \mathbb{P}(E) \times \mathbb{P}(E)^*$ , which is obviously a smooth manifold, but it carries no global conformal structure, as its canonical bundle has no square root. This means that the conformal structure on  $\overline{\mathbf{M}}$  is smooth on  $\mathbf{M}$ , and *singular* on  $\mathcal{F} = \overline{\mathbf{M}} \setminus \mathbf{M}$ . The cycles of  $Z$  corresponding to a point  $\bar{x} = (A, a)$  in this subset are pairs of complex projective lines in  $Z$ :

$$Z_{\bar{x}} = \{(A, l) \in Z = \mathcal{F}\} \cup \{(L, a) \in Z = \mathcal{F}\}.$$

A  $\beta$ -surface in  $\mathbf{M}$ , corresponding to a point  $\beta = (L, l) \in Z$ , is the set

$$\beta = \{(A, a) \in \mathbb{P}(E) \times \mathbb{P}(E)^* \mid A \subset l, L \subset a, A \neq L, a \neq l\},$$

and can be naturally compactified to

$$\bar{\beta} = \{(A, l^\beta) \in \mathcal{F}\} \times \{(L^\beta, a) \in \mathcal{F}\} \simeq \mathbb{CP}^1 \times \mathbb{CP}^1.$$

7.3. **The tangent space to  $\mathcal{F}$ .** In order to describe the null-geodesics of  $\mathbf{M}$  as 2-planes in  $Z$ , we first study the tangent space of  $Z = \mathcal{F}$  at  $\beta = (L, l)$ .

A vector in  $T_{(L, l)}\mathcal{F}$  is a pair of vectors  $(V, v)$ , with  $V \in T_L\mathbb{P}(E)$  and  $v \in T_l\mathbb{P}(E)^*$ , which satisfy a linear condition (as  $\mathcal{F} \subset \mathbb{P}(E) \times \mathbb{P}(E)^*$ ). Actually, there is a duality

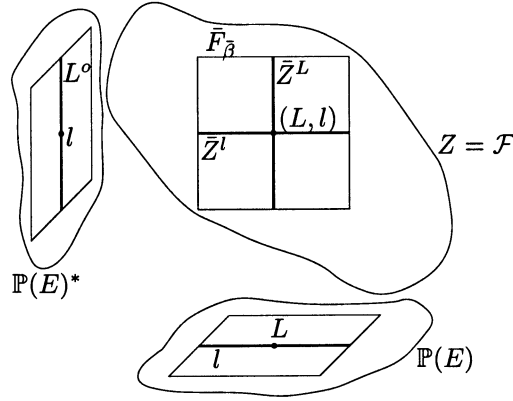


FIGURE 5.

between  $\mathbb{P}(E)^*$ , the Grassmannian of 2-planes in  $E$ , and  $\mathbb{P}(E^*)$ , the projective space of  $E^* := \text{Hom}(E, \mathbb{C})$ , and an analogous one between  $\mathbb{P}(E)$  and  $\mathbb{P}(E^*)^*$ :

$$\begin{aligned} \mathbb{P}(E)^* \ni l &\xrightarrow{\simeq} l^o \in \mathbb{P}(E^*), \\ \mathbb{P}(E) \ni L &\xrightarrow{\simeq} L^o \in \mathbb{P}(E^*)^*. \end{aligned}$$

Then the flag manifold  $\mathcal{F}$  is defined, as a submanifold of  $\mathbb{P}(E) \times \mathbb{P}(E)^*$ , by the equation

$$y(Y) = 0, \quad \forall y \in l^o, \forall Y \in L.$$

The vector  $V \in T_L \mathbb{P}(E)$  is an element in  $\text{Hom}(L, E/L)$ . By duality,  $v \simeq v^o \in \text{Hom}(l^o, E^*/l^o)$ . Then the vector  $(V, v) \in T_{(L, l)} \mathbb{P}(E) \times \mathbb{P}(E)^*$  lies in  $\mathcal{F}$  iff

$$(18) \quad v^o(y^o)(Y) + y^o(V(Y)) = 0, \quad \forall Y \in L, \forall y^o \in l^o,$$

or, equivalently,

$$(19) \quad v|_L = \pi_l \circ V,$$

where  $\pi_l : E/L \rightarrow E/l$  is the projection (as  $L \subset l$ ).

The geometry of  $\mathcal{F}$ , as a subset of  $\mathbb{P}(E) \times \mathbb{P}(E)^*$ , can be described as in Figure 5.

**7.4. The 2-planes in  $\mathcal{F}$ .** Let us consider now a 2-plane  $F$  in  $T_{(L, l)} \mathcal{F}$ , and the cycles (corresponding to points in  $\overline{\mathbf{M}}$ ) tangent to it. We have three cases:

**1.**  $F = \bar{F}_\beta$  is the “degenerate” 2-plane tangent to the 2 special curves  $\bar{Z}_L, \bar{Z}_l$  whose union is the special cycle  $\bar{F}_{(L, l)}$  corresponding to  $(L, l) \in \overline{\mathbf{M}} \setminus \mathbf{M}$ . There are no projective lines  $Z_x, x \in \mathbf{M}$ , tangent to it; only the special cycles  $\bar{Z}_{(L, a)}, L \subset a$ , and  $\bar{Z}_{(A, l)}, A \subset l$ , are tangent to  $\bar{F}_{(L, l)}$ , actually only to the two privileged directions of  $\bar{Z}_L$ , resp.  $\bar{Z}_l$ .

*Remark.* The special curves  $\bar{Z}_L, \bar{Z}_l$  have trivial normal bundle, being fibers of the projections from  $\mathcal{F}$  to  $\mathbb{P}(E)$ , resp.  $\mathbb{P}(E)^*$ , so these special curves form two complete families of analytic cycles in  $\mathcal{F}$ , isomorphic to  $\mathbb{P}(E)$ , resp.  $\mathbb{P}(E)^*$ . Two such curves are incident iff they are of different types ( $\bar{Z}_L$  is of type  $E$ ,  $\bar{Z}_l$  is of type  $E^*$ ), so they can only form “polygons” with an even number of edges. But there are no quadrilaterals, as one can easily check, using the fact that  $\bar{Z}_L$  and  $\bar{Z}_l$  are incident

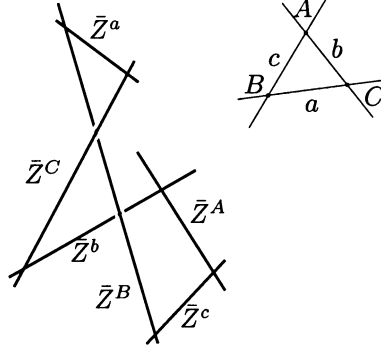


FIGURE 6.

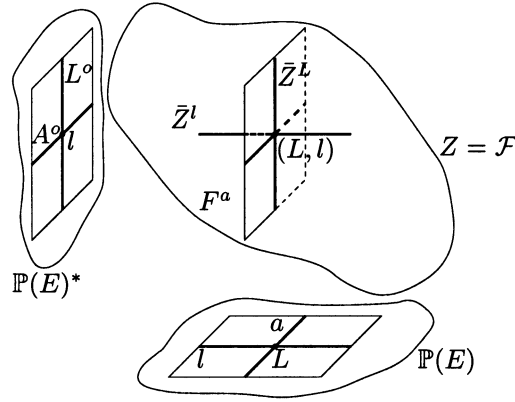


FIGURE 7.

iff  $L \subset l$ , thus iff  $l$  is a line in  $\mathbb{P}(E)$  containing  $L$ . On the other hand, there are hexagons, corresponding to the 3 vertices and 3 sides of a triangle in  $\mathbb{P}(E) \simeq \mathbb{CP}^2$  (see Figure 6).

The above hexagon is not “flat”, i.e. there is no canonical submanifold of  $\mathcal{F}$  containing it. This, and the fact that there are no quadrilaterals made of  $\bar{Z}$ -type curves, is just a consequence of the fact that the distribution  $\bar{F}$  on  $Z = \mathcal{F}$  is *not integrable*; in fact it is the holomorphic *contact structure* induced by the Fubini-Study *Einstein metric* on  $\mathbb{CP}^2$  ([3]; see also Section 7.6).

**2.**  $F = F^a$ , for  $a \supset L$ ,  $a \neq l$ . This is a 2-plane that is tangent to only one of the special curves  $\bar{Z}_L$ . The projective lines tangent to  $F^a$  at  $\beta = (L, l)$  are  $Z_{(A,a)}$ ,  $\forall A \subset l$ ,  $A \neq L$ ; hence the corresponding null-geodesic is

$$(20) \quad \gamma^a = \{(A, a) \in \mathbb{P}(E) \times \mathbb{P}(E)^* \mid A \subset l, A \neq l\},$$

thus it is diffeomorphic to  $\mathbb{C}$ , and its closure is

$$\bar{\gamma}^a = \{(A, a) \in \mathbb{P}(E) \times \mathbb{P}(E)^* \mid A \subset l\} \simeq \mathbb{CP}^1$$

(see Figure 7).

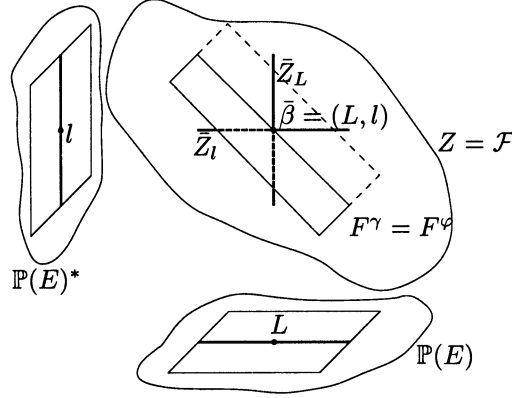


FIGURE 8.

*Remark.* The “limit” curve is  $\bar{Z}_{(L,a)}$ , so it is non-singular at  $(L, l)$ . Actually, the points of  $Z_{(A,a)}$  close to  $(L, l)$  converge, when  $A \rightarrow L$ , to some points in  $\bar{Z}_L$ , which is tangent to  $F^a$ . We can then apply the same method as in Theorem 2 to conclude that the integral  $\alpha$ -cone associated to  $F^a$  is a smooth manifold around  $(L, l)$ ; thus, from Theorem 1, the Weyl tensor  $W^+$  of  $\mathbf{M}$  vanishes on the  $\beta$ -planes generated along  $\gamma^a$  by its own direction. We will see that the vanishing of  $W^+$  on these  $\alpha$ -planes leads to the existence of some  $\alpha$ -surfaces, see below. Of course, the deformation argument in Theorem 2 does not hold in the present case, as the normal bundle of  $\bar{Z}_L$  is trivial, thus different from that of the rest of the rational curves  $Z_{(A,a)}$  (as we will see below, generic 2-planes through  $(L, l)$  do not admit projective lines tangent to all their directions).

**2'.** We have a similar situation for planes  $F = F^A$  —  $A \subset l$ ,  $A \neq L$ , tangent to the other special curve  $\bar{Z}_l$ .

**3.** This is the generic case:  $F = F^\varphi$ , where  $\varphi : \mathbb{P}(l) \rightarrow \mathbb{P}(L^\circ)$  is a projective diffeomorphism such that  $\varphi(L) = l^\circ$ . Indeed, the tangent spaces  $T_L\mathbb{P}(E)$  and  $T_l\mathbb{P}(E)^*$  are isomorphic to  $\text{Hom}(L^\circ, E^*/L^\circ)$ , resp. to  $\text{Hom}(l, E/l)$ , and a generic 2-plane  $F$  in  $T_{(L,l)}\mathcal{F}$  is the graph of a linear isomorphism  $\phi : T_L\mathbb{P}(E) \rightarrow T_l\mathbb{P}(E)^*$  satisfying a linear condition (18) or (19). Actually, the graph is determined by the projective application  $\varphi$  induced by  $\phi$  from  $\mathbb{P}(T_L\mathbb{P}(E)) \simeq \mathbb{P}(L^\circ)$  to  $\mathbb{P}(T_l\mathbb{P}(E)^*) \simeq \mathbb{P}(l)$  (see Figure 8).

The condition  $\varphi(L) = l^\circ$  is implied by (19). The null-geodesic associated to the 2-plane  $F^\varphi$  is

$$(21) \quad \gamma^\varphi = \{(A, a) \in \mathbb{P}(E) \times \mathbb{P}(E)^* \setminus \mathcal{F} \mid A \subset l, a^\circ \subset L^\circ, a^\circ = \varphi(A)\},$$

and its closure in  $\overline{\mathbf{M}}$  is

$$(22) \quad \bar{\gamma}^\varphi = \{(A, a) \in \mathbb{P}(E) \times \mathbb{P}(E)^* \mid A \subset l, a^\circ \subset L^\circ\}.$$

Hence the “limit” point is  $(L, l) \in \overline{\mathbf{M}}$ , corresponding to the special cycle  $\bar{Z}_{(L,l)}$ , none of whose components is tangent to  $F^\varphi$ . The integral  $\alpha$ -cone associated to  $F^\varphi$  looks like what is shown in Figure 9.

**7.5. The null-geodesics of the complexification of  $\mathbb{CP}^2$ .** The application  $\varphi$  has the following interpretation in terms of projective geometry on  $\mathbb{CP}^2 = \mathbb{P}(E)$ : a

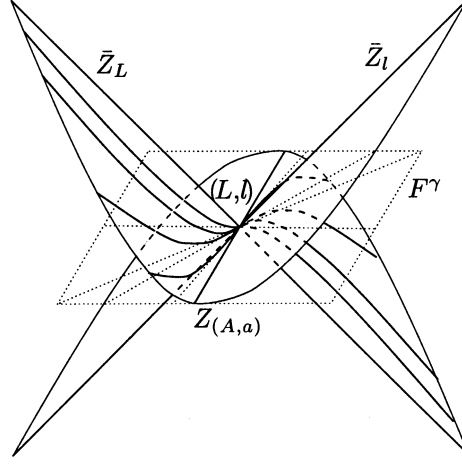


FIGURE 9.

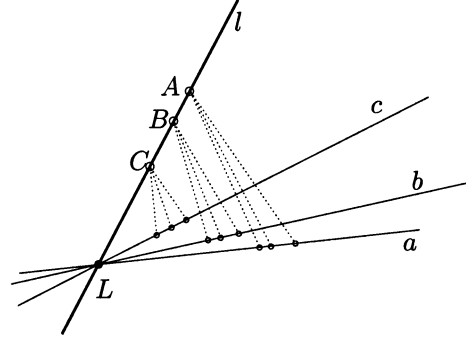


FIGURE 10.

direction  $\mathbb{C}v$  in  $T_l\mathbb{P}(E)^*$  is identified with the point  $\ker v \equiv A \in l/L \subset \mathbb{P}(E)$  and a direction  $\mathbb{C}V \subset T_L\mathbb{P}(E)$  is identified with a direction (thus a projective line  $a$ ) through  $L \in \mathbb{P}(E)$ .  $\varphi$  is, thus, a *homography* that associates to  $A \in l$  (we identify  $l$  with the projective line  $l/L \subset \mathbb{P}(E)$ ) the line  $a \ni L$ . As  $\varphi(L) = l$ , we have, then, that three points  $(A, a), (B, b), (C, c) \in \beta^{(L, l)}$  belong to the same null-geodesic iff

$$(23) \quad (A, B : C, L) = (a, b : c, l),$$

i.e. the cross-ratio of the points  $A, B, C, L \in l$  equals the cross-ratio of the lines  $a, b, c, l$  through  $L$  (the dotted lines, together with their intersections with the lines  $a, b, c$ , correspond to the points in the integral  $\alpha$ -cone; see Figure 10).

We can now describe the null-geodesics passing through a point  $(A, a) \in \mathbf{M}$  and contained in a  $\beta$ -surface  $\beta^{(L, l)}$  whose closure  $\bar{\beta}$  is isomorphic to  $\mathbb{CP}^1 \times \mathbb{CP}^1$ : they coincide with the rational curves in  $\bar{\beta}$  containing  $(A, a)$ ; except the “horizontal” ( $\bar{\gamma}^A$ ) and “vertical” ( $\bar{\gamma}^a$ ) ones, all these curves contain  $(L, l)$ ; see Figure 11.

We remark that, in the usual affine coordinates on

$$\beta \simeq (\mathbb{CP}^1 \setminus \{L\}) \times (\mathbb{CP}^1 \setminus \{l\}) \simeq \mathbb{C}^2,$$



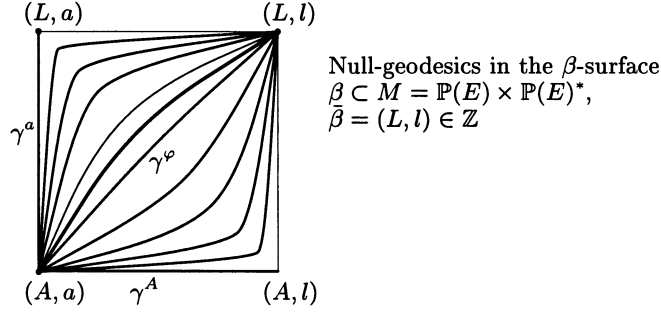


FIGURE 11.

these null-geodesics are the affine lines containing  $(A, a)$ ; thus the *projective structure* on  $\beta$  is (locally) isomorphic to a flat affine structure. We have seen, in Section 6 (Corollary 2), that this is true for all  $\beta$ -surfaces of a self-dual manifold.

**7.6. The conformal structure of the complexification of  $\mathbb{CP}^2$ .** Now let us study the conformal structure of  $\mathbf{M} = \mathbb{P}(E) \times \mathbb{P}(E)^* \setminus \mathcal{F}$  directly; actually  $\mathbf{M}$  has a complex metric  $g$ . Let  $(A, a) \in \mathbf{M}$ ; then  $A$  is transverse to  $a$ , and so we have the isomorphisms  $E/a \simeq A$  and  $E/A \simeq a$ . Then, a vector  $(V, v) \in T_{(A, a)}\mathbf{M}$  is identified with a pair of homomorphisms  $V : A \rightarrow a$  and  $v : a \rightarrow A$ , and the metric  $g$  is given by

$$(24) \quad g((V, v), (W, w)) := \text{tr}(v \circ W + w \circ V), \quad \forall (V, v), (W, w) \in T_{(A, a)}\mathbf{M}.$$

*Remark* (The real part). Let  $h$  be an Hermitian metric on  $E$ . Then we have a real-analytic embedding of  $\mathbf{M}_0 \simeq \mathbb{P}(E)$  into  $\mathbf{M}$ , given by

$$\mathbb{P}(E) \ni A \longmapsto (A, A^\perp) \in \mathbb{P}(E) \times \mathbb{P}(E)^* \setminus \mathcal{F}.$$

A vector  $(V, v) \in T_{(A, A^\perp)}\mathbf{M}$  is tangent to  $\mathbf{M}_0$  iff

$$h(x, v(y)) + h(V(x), y) = 0, \quad \forall x \in A, \quad \forall y \in A^\perp.$$

Then one easily checks that

$$g((V, v), (W, w)) = -2h(V, W), \quad \forall (V, v), (W, w) \in T_{(A, A^\perp)}\mathbf{M}_0.$$

Hence, up to a constant, the restriction of  $g$  to  $\mathbf{M}_0 \simeq \mathbb{CP}^2$  is the Fubini-Study metric of  $\mathbb{CP}^2 \simeq S^5/S^1$ .

An isotropic vector in  $\mathbf{M}$  is  $(V, v) \in T_{(A, a)}\mathbf{M}$ , with  $v \circ V = 0$ , viewed as an endomorphism of  $A$  (see above), or, equivalently, with

$$(25) \quad \dim(A + V(A) \cap \ker v) > 0.$$

Let us see which is the limit of the isotropic cone in the points of  $\mathcal{F}$ : from the relation above, it follows that the isotropic cone at a point  $x \in \mathcal{F}$  is

$$C_x = \{(0, v) \in T_x\mathcal{F}\} \cup \{(V, 0) \in T_x\mathcal{F}\},$$

so the conformal structure of  $\mathbf{M}$  is *singular* at the “infinity”  $\mathcal{F}$ .

*Remark.* The situation  $\mathcal{F} \subset \mathbb{P}(E) \times \mathbb{P}(E)^*$  is very similar to the one treated in [2]; see also [12]:  $\mathbb{P}(E) \times \mathbb{P}(E)^*$  has an Einstein self-dual metric  $g$ , singular at the “infinity”, and this Einstein structure yields a contact structure on the twistor space  $Z = \mathcal{F}$ ; the field of 2-planes determined by this contact structure corresponds to the “infinity”  $\mathcal{F} \subset \mathbb{P}(E) \times \mathbb{P}(E)^*$ . But these planes do not admit tangent rational curves with normal bundle  $\mathcal{O}(1) \oplus \mathcal{O}(1)$ : the conformal structure does not extend to the “infinity” (which is, therefore, not a *conformal infinity*).

**7.7.  $\alpha$ -planes and  $\beta$ -planes.** We consider the isotropic planes in  $T_{(A,a)}\mathbf{M}$  ( $A \not\subset a$ ): For a fixed isotropic direction, represented by a generic vector  $(V, v) \in T_{(A,a)}\mathbf{M}$ , the line  $\ker v \subset a$  and the plane  $V(A) + A \supset A$  are fixed. The linear space of all vectors  $(W, w) \in T_{(A,a)}\mathbf{M}$  satisfying

$$W(A) \subset A + V(A), \quad w|_{\ker v} = 0,$$

is isotropic and orthogonal to  $(V, v)$ : they form a  $\beta$ -plane. The  $\alpha$ -plane  $F^\alpha$  containing  $(V, v)$  corresponds to the isotropic vectors  $(W, w)$  orthogonal to  $(V, v)$  with  $\ker w \neq \ker v$ . As a plane transverse to all the  $\beta$ -planes (whose projection onto  $T_A\mathbb{P}(E)$  or  $T_a\mathbb{P}(E)^*$  is never injective),  $F^\alpha$  is determined by a linear isomorphism  $\varphi : T_A\mathbb{P}(E) \rightarrow T_a\mathbb{P}(E)^*$ , whose graph in  $T_{(A,a)}\mathbb{P}(E) \times \mathbb{P}(E)^*$  is  $F^\alpha$ ;  $\varphi$  induces the application  $\mathbb{P}\varphi : \mathbb{P}(a) \rightarrow \mathbb{P}(E/A)$  between the projective spaces of  $T_A\mathbb{P}(E)$ , resp.  $T_a\mathbb{P}(E)^*$ . The plane  $F^\alpha = F^\varphi$ , the graph of  $\varphi$ , is isotropic iff  $V \subset \mathbb{P}\varphi(V)$ ,  $\forall V \in \mathbb{P}(a)$ , i.e.  $\mathbb{P}\varphi$  is the homography that sends a point  $X$  in  $a$  into the projective line through  $A$  and  $X$ . We can extend  $\varphi$  to a projective isomorphism  $\varphi' : \mathbb{P}(\mathbb{C} \oplus T_A\mathbb{P}(E)) \rightarrow \mathbb{P}(\mathbb{C} \oplus T_a\mathbb{P}(E)^*)$ : for example,  $\mathbb{P}(\mathbb{C} \oplus T_A\mathbb{P}(E))$  contains  $T_A\mathbb{P}(E)$  as an affine open set. Then  $\varphi'$  is defined as follows:

$$\begin{aligned} \varphi'|_{T_A\mathbb{P}(E)} &:= \varphi, \\ \varphi'|_{\mathbb{P}(T_A\mathbb{P}(E))} &:= \mathbb{P}\varphi. \end{aligned}$$

Actually  $\mathbb{P}(\mathbb{C} \oplus T_A\mathbb{P}(E)) \simeq \mathbb{P}(E)$  and  $\mathbb{P}(\mathbb{C} \oplus T_a\mathbb{P}(E)^*) \simeq \mathbb{P}(E)^*$ . We then have

**Proposition 7.** *A generic  $\alpha$ -plane  $F^\alpha = F^\varphi$  in  $T_{(A,a)}\mathbf{M}$  is the graph of a linear isomorphism  $\varphi : T_A\mathbb{P}(E) \rightarrow T_a\mathbb{P}(E)^*$ , which is determined by a projective isomorphism*

$$\varphi' : \mathbb{P}(E) \rightarrow \mathbb{P}(E)^*$$

*such that  $\varphi'(A) = a$  and  $\varphi'(l) = l \cap a$ , for all  $l \supset A$ .*

**7.8. Exponentials of  $\alpha$ -planes.** The exponential  $\exp(F^\varphi)$  has an interpretation in terms of projective geometry. Each direction  $\mathbb{C}(V, v) \subset F^\varphi$  is determined by the point  $\ker v$  in  $a \subset \mathbb{P}(E)$  and the line through  $A$  and  $\ker v$ , and a homography  $\phi^{(V, v)}$  from the points  $B$  of the projective line  $A + \ker v$  to the space of lines  $b$  through  $\ker v$  (see Figure 12 and the convention below). As this homography is the restriction of  $\varphi'$  to the appropriate spaces, it follows that it is related to the homography  $\phi^{(W, w)}$ , where  $\mathbb{C}(W, w)$  is another direction in  $F^\varphi$ : the points  $D := b \cap c$ ,  $P := a \cap (B + C)$  and  $A$  are collinear (see Figure 12).

Of course, this implies that  $P$  determines a homography  $\psi^P$  between the lines  $A + \ker v$  and  $A + \ker w$ , such that  $\psi^P(A) = A$  and  $\psi^P(\ker v) = \ker w$ . Then, for any other points  $B' \in (A + \ker v)$ ,  $C' = \psi^P(B) \in (A + \ker w)$ , the lines  $b' = \phi^{(V, v)}(B')$ ,  $c' = \phi^{(W, w)}(C')$  intersect on the line  $(A + P)$  (see the right-hand side of Figure 12).

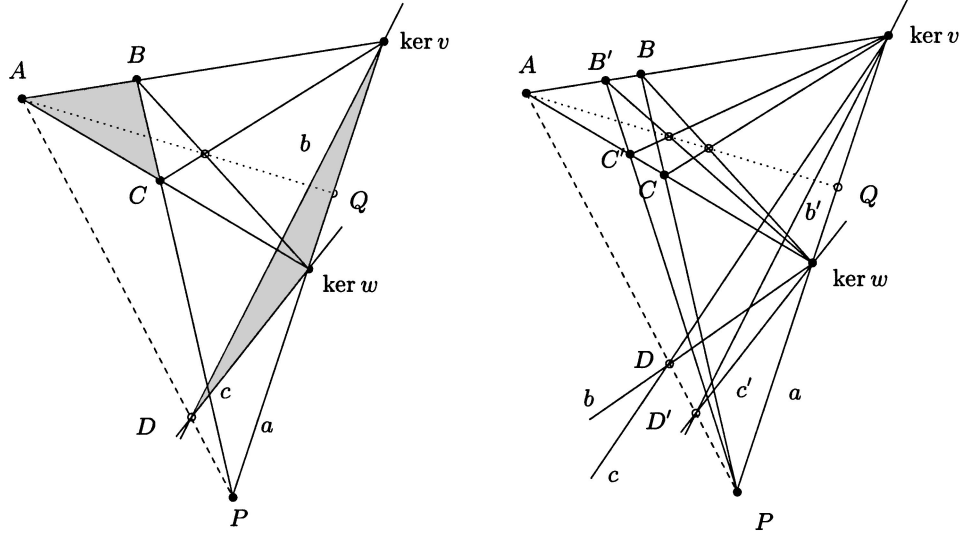


FIGURE 12.

**Convention.** In the framework of plane projective geometry, we identify a point in  $\mathbb{P}(E)^*$  with a line in  $\mathbb{P}(E)$  (we denote, for example,  $\ker v \in a$ ). The lines determined by the distinct points  $B$  and  $C$  will be denoted by  $(B + C)$  (thus  $B, C \in (B + C)$ ).

The null-geodesic tangent to  $(V, v)$  at  $(A, a)$  is the set  $\{(B, b) | B \in (A + \ker v), b = \phi^{(V, v)}(B)\}$ , and the null-geodesic tangent to  $(W, w)$  is the analogous set of the pairs  $(C, c)$ . Thus

$$\begin{aligned} \exp_{(A, a)}(F^\varphi) &= \exp_{(A, a)}(F^\alpha) = \{(C, c) | C \in \mathbb{P}(E), C \neq A, \\ &c = ((C + A) \cap a) + ((A + P) \cap b^C)\} \cup \{(A, a)\}, \end{aligned}$$

where  $B^C := (A + \ker v) \cap (P + C)$ , and  $b^C := \phi^{(V, v)}(B^C)$ , as in Figure 12 (where  $B = B^C$ ,  $b = b^C$ ). This gives the exponential of the  $\alpha$ -plane determined by the isotropic vector  $(V, v)$ . We remark that the point  $(A, a)$  has a privileged position in  $\exp_{(A, a)}(F^\alpha)$ :  $(a \cap b) \in (A + B) \forall (B, b) \in \exp_{(A, a)}(F^\alpha)$ ; on the other hand,  $(b \cap c) \notin (B + C)$  in general (see Figure 12), which means that the points  $(B, b)$  and  $(C, c)$  are not *null-separated* (i.e. they do not belong to the same null-geodesic). That means that  $\exp_{(A, a)}(F^\alpha)$  is not totally isotropic; thus there is no  $\alpha$ -surface tangent to a generic  $\alpha$ -plane—not surprising, as the corresponding  $\alpha$ -cone is not flat (see Section 7.4).

But there are  $\alpha$ -surfaces tangent to the two  $\alpha$ -planes  $\{(V, 0) | V \in T_A \mathbb{P}(E)\}$  and  $\{(0, v) | v \in T_a \mathbb{P}(E)^*\}$ : the “slices”  $\{A\} \times \mathbb{P}(E)^*$  and  $\mathbb{P}(E) \times \{a\}$ . (It is easy to see that these planes are isotropic, and that they are not  $\beta$ -planes, as these project on *lines* in  $T_A \mathbb{P}(E)$ , resp.  $T_a \mathbb{P}(E)^*$ .)

Thus  $\mathbf{M} = \mathbb{P}(E) \times \mathbb{P}(E)^* \setminus \mathcal{F}$  is a conformal self-dual manifold, not anti-self-dual, that admits  $\alpha$ -surfaces passing through any point.

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